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Effect of mechanical activation on carbothermal synthesis and densification of ZrC

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ABSTRACT

Zirconium carbide ceramics were prepared by carbothermal reduction of ZrO₂ and C that were mixed by high-energy ball milling. Powders were milled for times from 0 to 120 min in air. As milling time increased, the surface area of the powders increased, indicating significant particle size reduction. Milled powders were reacted at 1600 °C and then densified by spark plasma sintering at 2000 °C, which was sufficient to convert the starting powders to zirconium carbide. Unmilled powders did not reach full density. Milled powders reached full density, but ZrO₂ impurities were found for specimens prepared from powders milled for 60 and 120 min. Microstructure analysis showed that grain size was less than 2 μm for ceramics produced from powder milled for 15 min. Based on densification onset temperature and impurity levels, a milling time of 15 min gives the best balance of particle size reduction to promote densification while minimizing impurity levels.

1. Introduction

Zirconium carbide (ZrC) ceramics have been studied through the last two decades due to appealing properties that include extremely high melting point (~3500 °C), relatively low density (6.7 g/cm³), moderate thermal conductivity (20.5 W·m⁻¹K⁻¹ at 25 °C), good mechanical properties as well as excellent high-temperature stability. Like other carbides of the transition metals of Groups IV, V, and VI, ZrC exhibits an unusual combination of properties that are useful for refractory applications. ZrC has been one of the most promising candidates for structural applications that involve temperatures above 1800 °C [1–3]. Furthermore, ZrC is being considered as a structural and fission product barrier coating material for TRISO (tri-isotropic) coated nuclear fuel used in high temperature reactors (HTR's), replacing or in addition to the currently used silicon carbide (SiC) [4].

Several methods including the solid-state reaction of Zr and C, carbothermal reduction, and solution-based methods have been reported for the synthesis of ZrC powders [5–13]. Among them, owing to the simple processing, low production cost and high purity of the synthesized powder, the carbothermal reduction of ZrO₂ is the most common

method for synthesizing ZrC powder [14]. Conventional carbothermal reduction using starting particles with sizes in the range from several microns to tens of microns in diameter can require temperatures above 1500 °C and reaction times of several hours for complete reaction, which results in a significant growth of the synthesized particles [7]. Likewise, conventional heating methods for densification can also lead to grain growth, which results in low relative densities. Spark plasma sintering (SPS) has a potential advantage because of heating and cooling rates on the order of hundreds of degrees per minute that can reduce total processing times from hours to just a few minutes [15]. The current that passed through the specimens during SPS was reported to increase the rate of compound formation and to decrease the incubation time for the nucleation of the new phases [16]. A few papers report using a combination of carbothermal reduction and SPS to obtain ZrC ceramics, but they did not reach full density due to grain growth that resulted in porosity trapped within the grains of the sintered specimens [14,17–20]. The key issue to produce dense ZrC ceramics, and most ceramics in general, is to control the grain growth/densification behaviour [21].

One of the possible ways to minimize grain growth during sintering is addition of very small quantities of various compounds and/or sintering

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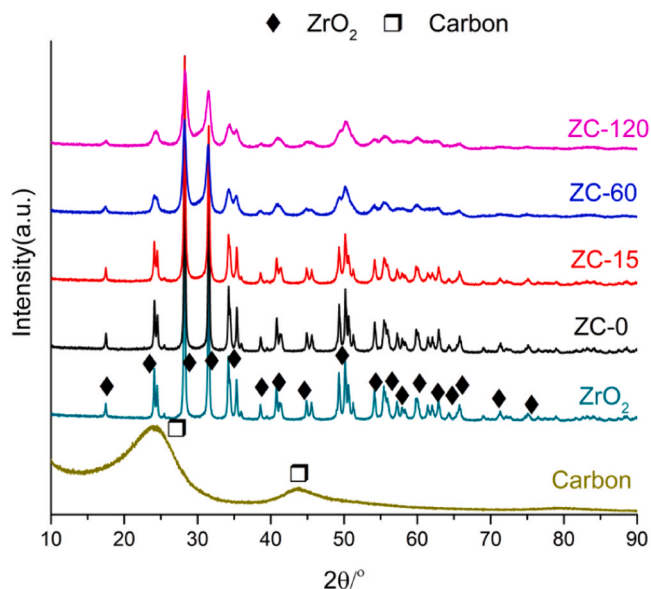


Fig. 1. XRD patterns of the starting powders and mechanically activated mixtures.

Table 1

Phase identification, crystallite size, and strain for the starting powders and the mechanically activated mixtures.

Sample	Phases	Crystallite size (Å)	Strain (%)
ZrO ₂	ZrO ₂	398(23)	0.10(3)
C			
ZC-0	ZrO ₂	391(18)	0.09(3)
	C	53.0(3)	0.85(7)
ZC-15	ZrO ₂	284(39)	0.17(1)
	C	34.4(12)	4.4(3)
ZC-60	ZrO ₂	132(19)	0.76(14)
	C	26.88(6)	1.41(8)
ZC-120	ZrO ₂	79(4)	0.2(4)
	C	25.09(6)	1.20(1)

aids. Another alternative, prior to sintering, is mechanical activation (MA), which is a high-energy ball milling process that induces physicochemical changes in the starting ceramic particles [22,23]. MA reduces particle size and produces defects in materials which increase the chemical activity, promote densifying sintering mechanisms, increase the rate of densification, and, therefore, decrease the sintering time and temperature. MA leads to formation of the defects such as grain boundaries, strain and dislocations, as well as lattice defects [24]. The most common lattice defects produced during MA are oxygen vacancies, cation interstitials, cationic vacancies and oxygen interstitials [25]. Furthermore, mechanical activation can also affect the final physical properties of sintered bodies. Hence, milling processes are low-cost attractive methods because they enable the formation of submicron and/or nano-structured materials with desirable properties [26–31].

In this paper, we studied the influence of mechanical activation of ZrO₂-C powder mixtures on carbothermal reduction, phase composition, microstructure, densification, and mechanical properties of sintered ZrC ceramics.

2. Experimental procedure

A mixture of high-purity ZrO₂ (99.9% purity Sigma-Aldrich, p.a.) and carbon black (C, BP1100, Cabot) starting powders were used in these experiments. The starting ZrO₂ and C were mixed in ratio corresponding to the expected reaction:

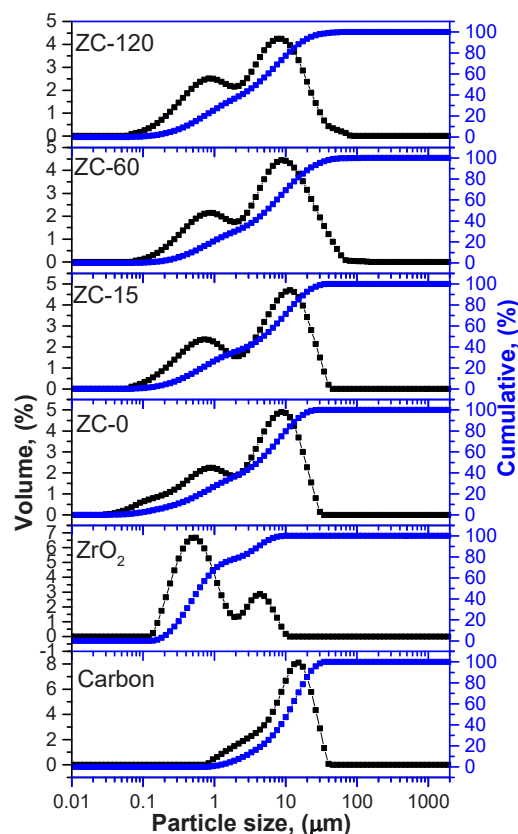
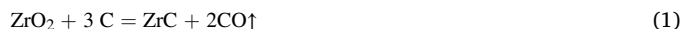


Fig. 2. Particle size distribution of the C, ZrO₂ and ZC mixtures, measured by DLS.

Table 2

Characteristic values of $d(0.1)$, $d(0.5)$, $d(0.9)$ and $span$ for C, ZrO₂ and powder mixtures.

Sample	$d(0.1) \mu\text{m}$	$d(0.5) \mu\text{m}$	$d(0.9) \mu\text{m}$	Span (μm)
Carbon	2.619	10.526	22.105	1.851
ZrO ₂	0.247	0.619	4.165	6.334
ZC-0	0.292	4.018	13.876	3.381
ZC-15	0.354	4.872	17.970	3.615
ZC-60	0.476	5.410	21.812	3.944
ZC-120	0.392	3.898	16.368	4.099



The powder mixture was mechanically activated for 15, 60, and 120 min in a high-energy planetary ball mill (Planetary Ball Mill Retsch PM 100) in air. MA was performed by using Y-stabilized ZrO₂ vials and balls. The milling balls were 5 mm in diameter and the jar volume was 500 ml. The ball-to-powder weight ratio was 40:1 with a rotation speed of 400 rpm. Individual MA runs were done with 15 g of the powder mixture and 600 g of the ZrO₂ media. Milling times of 15 min and 60 min were conducted in a single step while the 120 min milling time was conducted in two 60 min steps with a 30 min cooling period between steps. Powders were sieved after milling to remove any large debris. The powder mixtures were labelled based on the activation time as ZC-0 for no milling up to ZC-120 for 120 min of milling. The use of zirconia balls and media minimizes the introduction of impurities from milling since zirconia is one of the reactants used in the synthesis reaction.

Milled powders were characterized at room temperature by X-ray powder diffraction (XRPD) method using Ultima IV Rigaku diffractometer, equipped with CuK $\alpha_{1,2}$ radiation, using a voltage of 40.0 kV and current of 40.0 mA. The samples were placed on a Si-monocrystalline sample carrier. The range of 10–80° 2θ was used for all powders in a

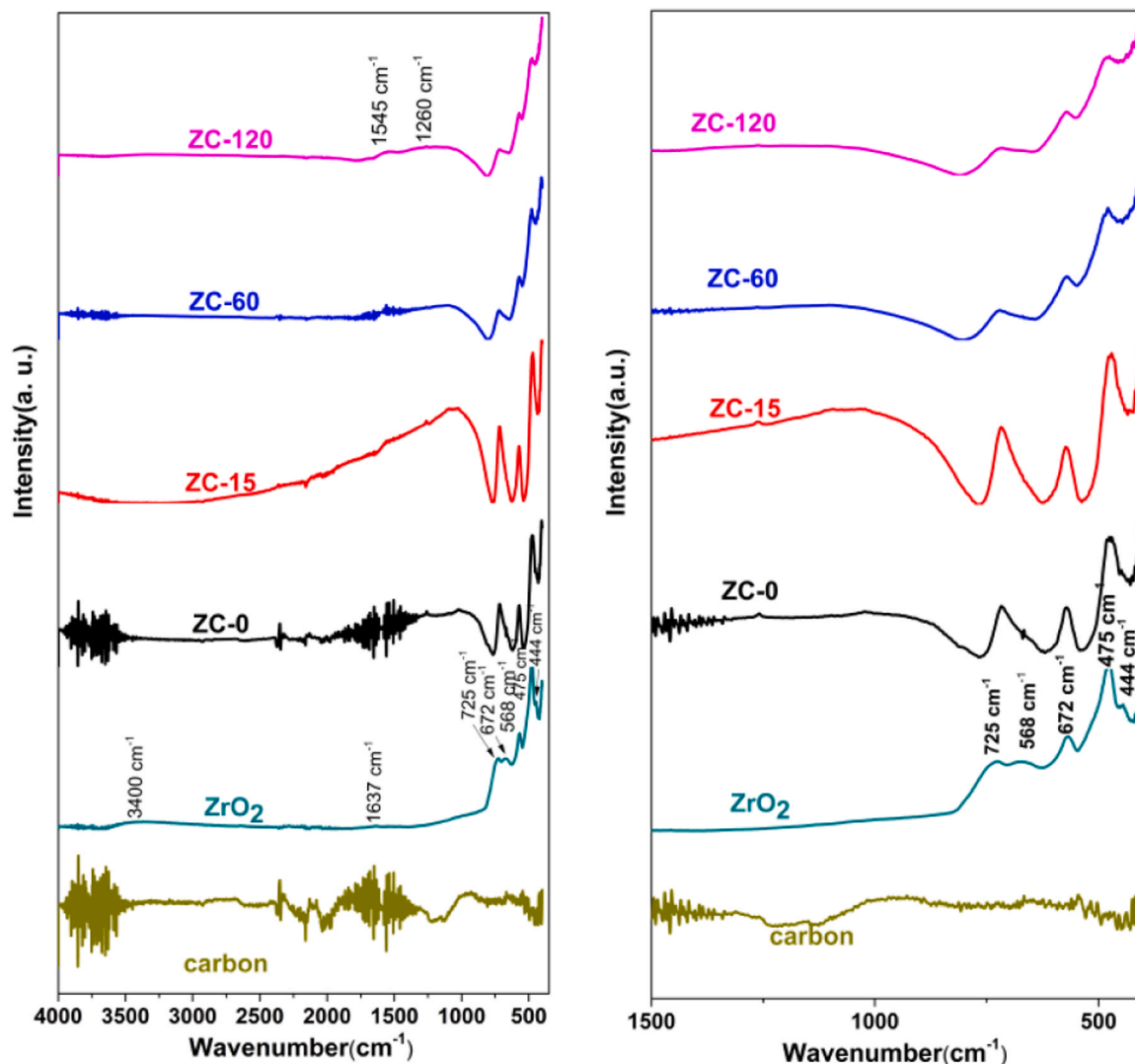


Fig. 3. ATR-FTIR spectra of the commercial powders and mechanically activated mixtures. Note that the panel on the right is an expansion of the range between 1500 cm^{-1} and 400 cm^{-1} from the full spectra on the left panel.

Table 3

SSA of powders after milling and after carbothermal reaction.

Sample	After milling (m^2/g)	After reaction (m^2/g)
ZC-0	60.8	4.2
ZC-15	63.6	4.4
ZC-60	83.0	4.1
ZC-120	62.0	4.8

continuous scan mode with a scanning step size of 0.02° and at a scan rate of $5^\circ/\text{min}$, using D/TeX Ultra high - speed detector. Powder diffraction file (PDF) card numbers that were used for phase identification were: 01-083-0940 for monoclinic ZrO_2 , 01-089-8493 for C, and 03-065-0973 for ZrC. Crystallite size and strain were estimated from peak broadening by Rietveld refinement. The particle size distributions were investigated by the laser diffraction on Mastersizer 2000 Malvern Instruments Ltd., where powders were dispersed in isopropanol. The instrument covers the particle size range of $0.02\text{--}2000\text{ }\mu\text{m}$. Prior to measurements, the powders were subjected to low power ultrasound treatment for 5 min. Fourier-transform infrared (FTIR) spectroscopy spectra were collected using FTIR Nicolet 6700 ATR device (Thermo Fisher, UK), in spectral range $400\text{--}4000\text{ cm}^{-1}$. The instrument resolution was 4 cm^{-1} . The spectra were measured in air at room temperature. The

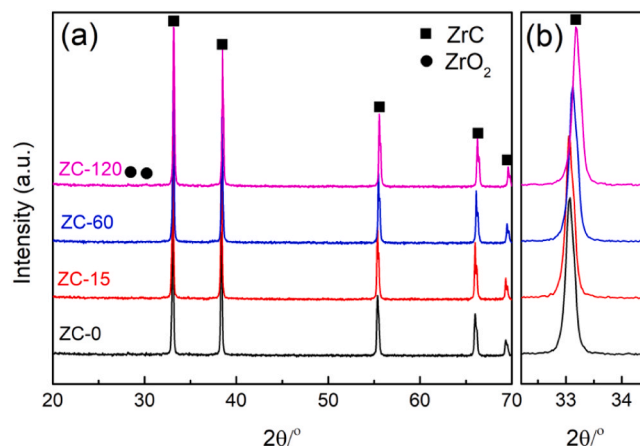


Fig. 4. XRD of reacted powders.

specific surface area (SSA) of mechanically activated powders and powders after carbo-thermal reaction was determined by nitrogen absorption (NOVA 1000, Quantachrome, Boynton Beach, FL, USA) and

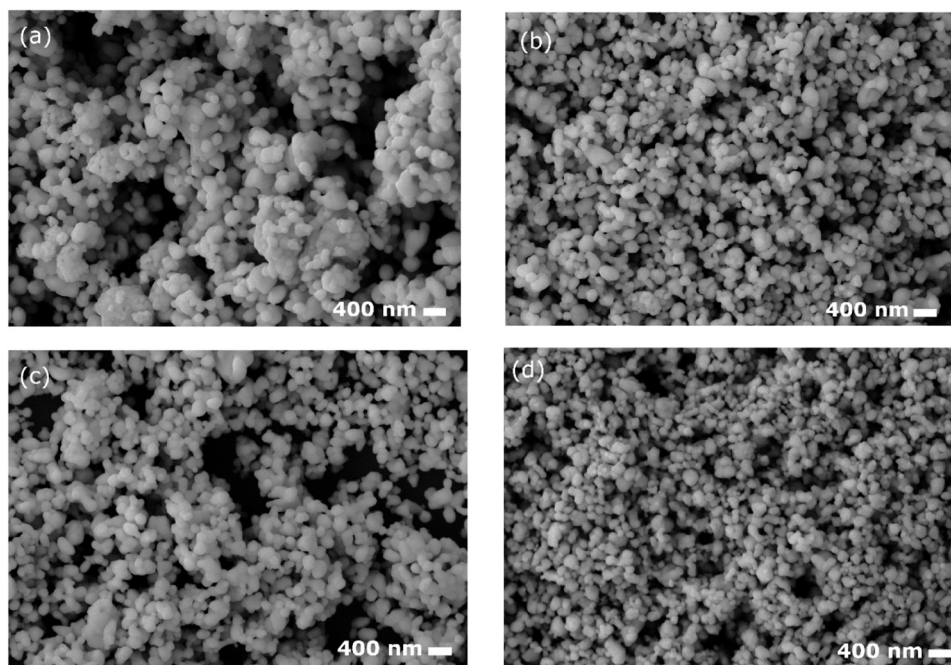


Fig. 5. SEM micrographs of reacted powders: a) ZC-0, b) ZC-15, c) ZC-60, and d) ZC-120.

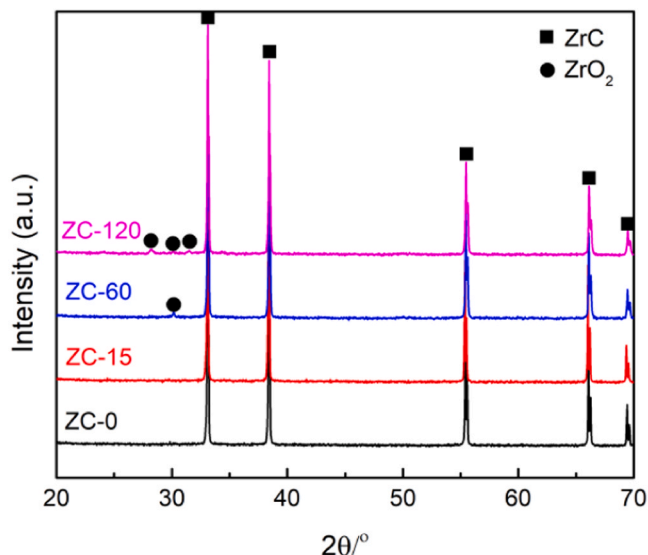


Fig. 6. XRD patterns of SPS specimens.

BET analysis.

Powders were reacted in a graphite crucible. The thermal treatment cycle included heating at 10 °C/min to 1600 °C followed by an isothermal hold for 2 h hold in vacuum (~20 Pa) in a resistance-heated graphite element furnace (HP50-7010 G, Thermal Technology, Santa Rosa, CA). This first step of the process promoted carbothermal reaction of the starting materials. The resulting ZrC powder was densified by SPS in graphite dies. The peak densification temperature was 2000 °C with an isothermal holding time of 10 min. The heating rate of SPS was 15 °C/min with an isothermal hold at 1600 °C and applied pressure of 15 MPa for 5 min. The applied pressure during densification was 50 MPa. Based on the use of the stoichiometric amount of carbon for Reaction 1 and the use of a graphite crucible for reaction and graphite die for densification, the carbon contents of the final ZrC ceramics were assumed to be close to stoichiometric and consistent among the four different specimens.

Bulk density was measured using a modified Archimedes' method with distilled water as the immersion liquid (ASTM C373). Powder X-ray diffraction (XRD) patterns were collected from portions of samples which had been crushed with a zirconia mortar and pestle and sieved to 200 mesh. (X'Pert Pro, PANalytical, Almelo, Netherlands) Diffraction patterns were collected between 20 and 70 ° 2θ, with a step size of 0.026 ° 2θ, and an effective time per step that resulted in a total scan time of 30 min. The diffractometer was set to use a tube current of 40 mA, a generator voltage of 45 keV, and Cu-Kα radiation ($\lambda = 1.54056 \text{ \AA}$). The PDXL2 (Ver. 2.8.4.0) software was used for phase analysis [32]. All obtained phases were identified using the ICDD data base [33]. RIQAS Rietveld refinement software was used to analyze sample lattice parameters. Specimens were prepared for scanning electron microscopy (SEM) by surface grinding reaction layers from billet surfaces and polishing with progressively finer diamond polishing pads and diamond slurries to a final surface finish of 0.25 μm. Imaging was performed with an accelerating voltage of 5 kV, a working distance of 10 μm, and an aperture of 15 μm to enhance channelling contrast to distinguish individual grains (e-Line Plus, RAITH, Dortmund, Germany). Energy dispersive spectrometry (EDS) was performed with an accelerating voltage of 15 kV at a working distance of 5.1 mm, and an emission current of 1.4 nA (Helios NanoLab DualBeam 600, Thermo Fisher Scientific, Waltham, MA; AZtec, Oxford Instruments, Abingdon, United Kingdom). The microscope was equipped with a Si(Li) detector with an area of 50 mm². Maps were acquired with a resolution of 1024 × 800 px at a dwell time of 150 μs over 1 frame. Grain sizes were determined by analyzing > 400 grains using image processing software to calculate their Feret diameter (ImageJ, National Institutes of Health, Bethesda, MD).

Vickers hardness testing was performed on polished portions of samples prepared in the manner described above for SEM analysis. Indentations were performed according to ASTM C1327 with an applied load of 9.81 N for 10 s (Duramin 5, Leco, St. Joseph, MI). A minimum of 24 indents were measured for each specimen to calculate the reported averages and standard deviations.

3. Results and discussion

XRD analysis shown in Fig. 1 and summarized in Table 1 revealed

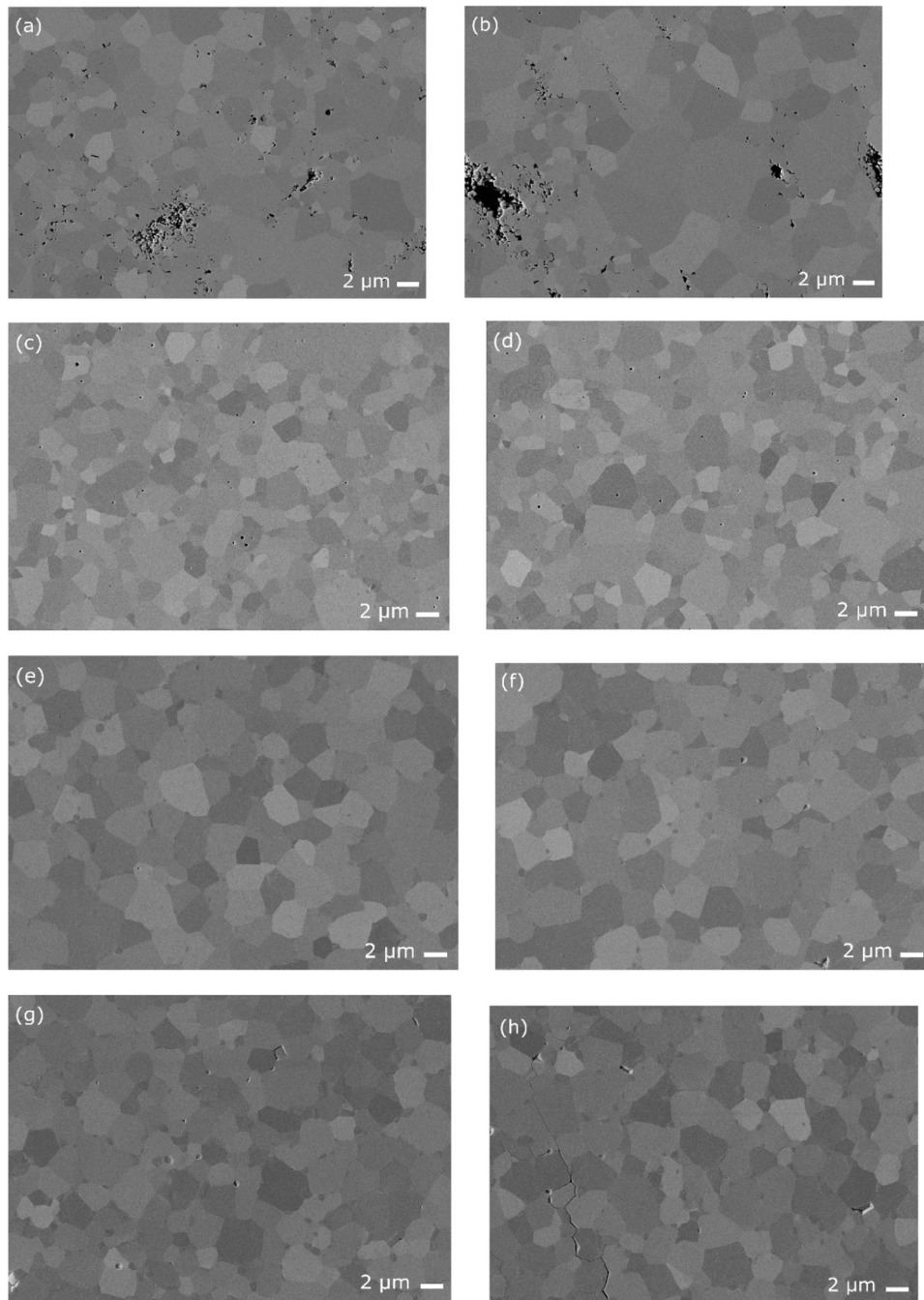


Fig. 7. SEM micrographs of SPS specimens: a, b) ZC-0, c, d) ZC-15, e, f) ZC-60, and g, h) ZC-120.

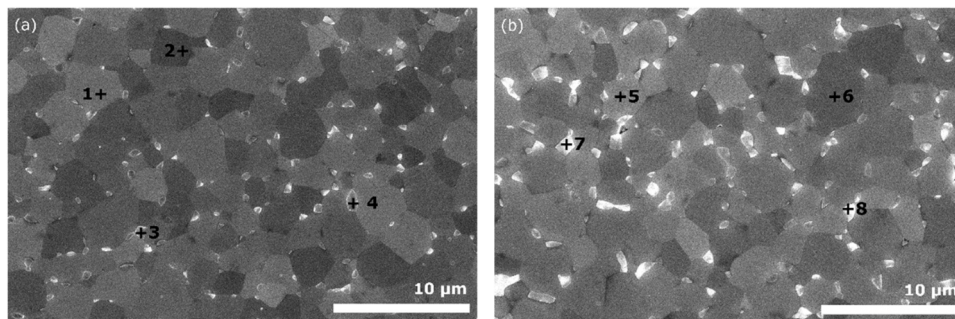


Fig. 8. SEM micrographs of a) ZC-60, and b) ZC-120 showing the points for EDS analysis listed in [Table 4](#). The lighter colored regions such as points 3, 4, 7, and 8 are ZrO_2 and the darker grains are ZrC.

Table 4

Results of EDS analysis.

Sample	Points	Elements (at%)		
		Zr	C	O
ZC-60	1	55.53	42.11	4.36
	2	55.59	40.81	3.60
	3	39.51	21.65	38.84
	4	38.01	20.02	41.98
ZC-120	5	46.08	49.73	4.2
	6	47.19	49.06	3.75
	7	33.03	27.46	39.51
	8	31.02	25.62	43.36

that the starting ZrO_2 was highly crystalline with low level of structural strain, around 0.1%. According to structural data it is monoclinic symmetry, with space group $\text{P}121/\text{c}1$. On the other hand, the diffraction pattern of the C suggests that it is amorphous with higher strain as indicated by peak shifts from the positions listed on the PDF cards. The diffraction peaks were sharp and intense for the starting ZrO_2 powder and for ZrO_2 in the mixtures denoted as ZC-0 and ZC-15, indicating well-ordered crystalline phases. With the increase of milling period (60 min and higher), the peaks for ZrO_2 broadened, indicating a decrease in crystallite size and an increase of the structural strain.

The results of particle size analysis are presented in Fig. 2 with average particle size, $d(0.5)$ along with the tenth percentile, $d(0.1)$ and ninetieth percentile $d(0.9)$ also reported. The particle size of the commercial carbon powder showed a bimodal distribution with the dominant volume fraction of the particles having a diameter of about 10 μm . A smaller, but notable volume of particles had diameters below 5 μm . Laser diffraction cannot distinguish agglomerates from single particles, which is likely the reason for the larger particles that were observed. The ZrO_2 powder is significantly finer, with the major particles fraction around 0.6 μm in diameter with a secondary concentration of about 4 μm in diameter, which probably indicates agglomerates. Mechanically activated powders show poly-modal distribution with characteristic parameters present in Table 2. In general, increasing milling time appears to increase the fraction of particles in the lower size range, but does not change $d(0.1)$ or $d(0.5)$ significantly. The span values that depict the width of the distribution (in μm) are also given in the Table 2.

The FTIR spectra are given in Fig. 3. The spectrum of the commercial ZrO_2 shows peaks at 444 cm^{-1} , 475 cm^{-1} , 568 cm^{-1} , 672 cm^{-1} , 725 cm^{-1} , a small intensity peak at 1637 cm^{-1} , and a broad peak at 3400 cm^{-1} . The first band is related to Zr-O-Zr vibrations [34]. Second set of peaks between 450 and 800 cm^{-1} originate from vibrations of Zr-O [35]. The peak at 725 cm^{-1} is assigned to ZrO_4^{4+} tetrahedral vibration modes. The small intensity vibration band at 1637 cm^{-1} is correlated with the bending mode of adsorbed water [34–36]. The surface of zirconia is known to be covered by hydroxyl groups that co-ordinate to single Zr ions (terminal $-\text{OH}$ groups) or multi-coordinated $-\text{OH}$ groups ($-\text{OH}$ coordinated to two or three Zr ions) [35]. Amorphous structure of carbon, observed XRD as broad peaks, was confirmed by FTIR. The vibration modes expected for well-ordered crystalline graphite are missing. In the spectrum of the ZC-0 sample, all of the modes detected in the starting powders were present. With increasing milling time, the peaks at 444 cm^{-1} and 725 cm^{-1} disappear due to breaking of some bonds during particle comminution. Also, the widening of modes and changes in their shapes are likely to be the

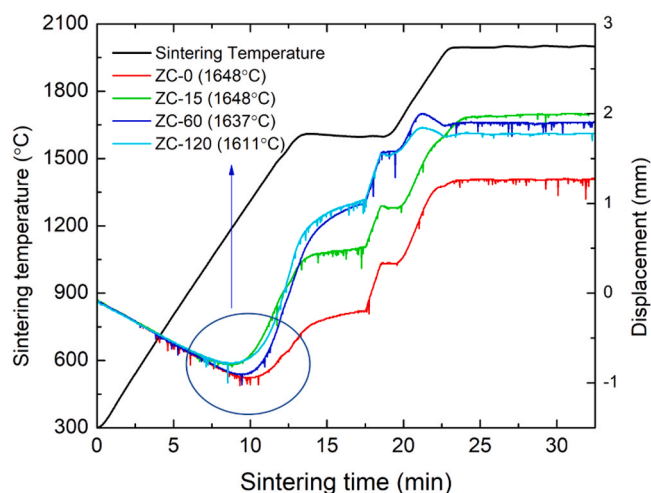
consequence of defects introduced into the structure that change the lattice vibrations. The broadening of the vibration modes is consistent with the changes in strain noted in the XRD data and changes in SSA discussed below.

Two new vibration modes were detected in ZC-60 and ZC-120 at 1260 cm^{-1} and 1545 cm^{-1} . These peaks can be ascribed to C-O and C=C vibrations, respectively [37,38]. The mode of ZrC located at $\sim 1383 \text{ cm}^{-1}$ wasn't detected in spectra of ZC-60 and ZC-120, which indicates that carbothermal reduction was not promoted by milling. In samples subjected to longer mechanical treatment presence of modes originating from O-H stretching vibrations disappear, owing to removal of adsorbed water molecules when powder heating occurred during milling.

The results of SSA measurements are summarized in Table 3. Specific surface area of ZC-0 powder was around 60 m^2/g . As milling time increased, surface area of powders increased, showing its maximum of 83 m^2/g for sample ZC-60, indicating particle size reduction. The values are high due to high SSA of C that dominates the results. The decrease in surface area from 83 m^2/g for sample ZC-60 to 62 m^2/g for ZC-120 is likely due to re-welding of the particles due to the longer milling time, which is consistent with the decrease in strain shown for these powders in Table 1. After carbothermal reaction, SSA was significantly lower, around 4 m^2/g in all powders. The lower surface area indicated that most or all of the carbon reacted. In addition, the mean particle diameter estimated from the surface area was about 81 nm for the synthesized ZrC assuming spherical particles and a true density of 6.73 g/cm^3 for ZrC.

After reaction, all four XRD patterns showed that pure ZrC was present with sharp and intense peaks as shown in Fig. 4. The most intense ZrC peak at around $33^\circ 2\theta$ was shifted to higher angles for powders prepared with longer milling times (enlarged peaks shown in Fig. 4b.). This shift could be due to higher carbon content or a higher concentration of dissolved oxygen in the resulting ZrC [2]. The decrease in lattice parameter (from 0.4690 nm for ZC-0 to 0.4676 nm for ZC-120) was confirmed by Rietveld refinement.

Scanning electron micrographs of the reacted powders are presented in Fig. 5. SEM micrograph of ZC-0 shows round spherical particles that

**Fig. A1.** Sintering curves of samples ZC-0, ZC-15, ZC-60, and ZC-120.**Table 5**

Bulk densities, grain size, hardness, and onset of densification temperature of SPS specimens.

Sample	Bulk ρ (g/cm^3)	Relative ρ (%)	Grain Size surface (μm)	Grain Size cross-section (μm)	Hardness (GPa)	Densification Onset ($^\circ\text{C}$)
ZC-0	6.66	~ 98	2.4 ± 1.4	2.7 ± 2.2	15.8 ± 0.8	1648
ZC-15	6.77	~ 100	1.9 ± 0.9	2.0 ± 1.0	16.4 ± 0.4	1648
ZC-60	6.76	~ 100	2.9 ± 1.0	2.8 ± 1.0	16.8 ± 1.0	1637
ZC-120	6.75	~ 100	2.3 ± 1.1	2.5 ± 1.2	15.9 ± 1.1	1611

were agglomerated into larger, multi-particle aggregates. As the time of mechanical activation increased, the size of the primary particles decreased, and the particles appeared to be less agglomerated. Particle sizes determined from image analysis confirmed the decrease in size with increasing mechanical activation time, from 236 ± 71 nm for ZC-0– 146 ± 49 nm for ZC-120.

After SPS, nominally phase-pure ZrC was present in ZC-0 and ZC-15, with well-defined, sharp and intense peaks. No peaks for ZrO₂ were noted in ZC-0 or ZC-15. However, some ZrO₂ was detected after reaction in samples activated for 60 and 120 min. With the increase of milling time, the ZrO₂ content of the reacted powders increased, indicating that the powders were deficient in carbon during reaction. The carbon deficiency could be caused by either oxidation of some C during milling or incorporation of additional ZrO₂ due to wear of media during milling. The smaller particle size induced by longer milling times also increases the surface area of the reacted powders, which could lead to increased formation of native oxide on the reacted powders, which could lead to higher oxygen contents with increased milling time. Fig. 6.

Microstructures of the polished surfaces and cross-sections of the dense ZrC ceramics are shown in Fig. 7. ZC-0 is characterized by grains that vary in size and shape, from 1.5 to 5 μm . The specimen also contains some small black areas with circular cross section that are residual carbon and larger black areas that are porosity. These flaws are apparent in both the surface and cross section images. The flaws are typically clustered in areas larger than ~ 2 μm and are presumably caused by poor homogenization of the starting powders (i.e., agglomeration of the starting ZrO₂, carbon, or both). The average grain size for non-milled specimen on the surface was 2.4 ± 1.4 μm , and on the cross-section 2.7 ± 2.2 μm . The similar grain sizes on the surface and cross section views indicate that grain size was uniform throughout the dense billet. In addition, the two views are perpendicular, which demonstrates that no significant grain texturing was induced by SPS. Specimen ZC-15 had much smaller grains that were equiaxed and less than 2 μm in diameter, with no porosity. Similar to ZC-0, grain sizes were similar on the surface and in cross section indicating uniform grain size and lack of any significant microstructural texturing. Longer milling times of 60 or 120 min prior to thermal treatment led to larger grains (2.9 ± 1.0 μm for ZC-60 and 2.3 ± 1.1 μm for ZC-120) and the presence of impurities in the final ceramics. The larger grain size of ZC-60 likely indicates a higher densification rate for the longer milling time while the decrease for ZC-120 may be due to the higher level of ZrO₂ impurities in the final ceramic.

Fig. 8. is shows SEM micrographs of the final ceramics that contained significant amounts of milling-induced ZrO₂ impurities, ZC-60 and ZC-120, with labels indicating points where EDS analysis was performed. White dots represent the locations where oxygen impurities were concentrated in the specimens, presumably as crystalline ZrO₂ that detected by XRD for these compositions. The amounts of the ZrO₂ impurities calculated by image analysis were 4.2 vol% in ZC-60 and 8.3 vol% in ZC-120. Table 4 summarizes the compositions at the points shown in Fig. 8. Dots 3, 4, and 7, 8 are rich in oxygen, which indicates that prolonged mechanical activation times led to the presence of impurities on the grain boundaries.

Bulk densities after SPS, grain size, and Vickers hardness are presented in Table 5. The non-activated sintered specimen, ZC-0 had a bulk density of 6.66 g/cm³ and hardness of 15.8 GPa. ZC-0 did not reach full density and the onset sintering temperature was 1648 °C (see Fig. A1.). All of the materials that were mechanically activated prior to sintering exhibited higher values of relative density. Mechanical activation also shifted the onset of densification to lower temperatures reaching as low as 1611 °C for ZC-120. In addition, all of the ceramics prepared from powders that were mechanically activated reached nearly full density. The best combination of properties was for ZC-15, which exhibited $\sim 100\%$ TD with the highest hardness of 16.4 ± 0.4 GPa. The presence of ZrO₂ in ZC-60 C and ZC-120 C does not alter the hardness significantly since zirconia typically has a hardness of about 15 GPa, which is similar

to the ZrC matrix [39].

4. Conclusions

Based on the results presented in this paper, the conclusions that can be drawn are:

- ZrC ceramics with high relative density were produced by carbo-thermal reduction synthesis and densified by SPS;
- Mechanical activation leads to decreases in the particle size and increases in the SSA from about 60 m²/g for ZC-0 to as high as ~ 80 m²/g for ZC-60. The decreased particle size and increased surface area of the powders more reactive and decreases the onset temperature for densification by as much as much as ~ 40 °C;
- Based on densification data and impurity levels, a milling time of 15 min appears to give the best balance of particle size reduction to promote densification while minimizing impurity level.

Declaration of Competing Interest

The authors have no conflict of interest related to this paper.

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Appendix

see Appendix Fig. A1

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