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## **Rotation-Inversion Isomerization of Tertiary Carbamates:** 😻 Potential Energy Surface Analysis of Multi-Paths **Isomerization Using Boltzmann Statistics**

Brian Jameson<sup>[a]</sup> and Rainer Glaser\*<sup>[a]</sup>

Potential energy surface (PES) analyses at the SMD[MP2/6-311 ++G(d,p)] level and higher-level energies up to MP4(fc,SDTQ) are reported for the fluorinated tertiary carbamate N-ethyl-N-(2,2,2-trifluoroethyl) methyl carbamate (VII) and its parent system N,N-dimethyl methyl carbamate (VI). Emphasis is placed on the analysis of the rotational barrier about the CN carbamate bond and its interplay with the hybridization of the N-lone pair (NLP). All rotational transition state (TS) structures were found by computation of 1D relaxed rotational profiles but only 2D PES scans revealed the rotation-inversion paths in a compelling fashion. We found four unique chiral minima of VII, one pair each of E- and Z-rotamers, and we determined the eight unique rotational TS structures associated with every possible E/Zisomerization path. It is a significant finding that all TS structures feature N-pyramidalization whereas the minima essentially contain sp<sup>2</sup>-hybridized nitrogen. We will show that

the TS stabilities are affected by the synergetic interplay between NLP/CO<sub>2</sub> repulsion minimization, NLP $\rightarrow$  $\sigma$ \*(CO) negative hyperconjugation, and two modes of intramolecular through-space electrostatic stabilization. We demonstrate how Boltzmann statistics must be applied to determine the predicted experimental rotational barrier based on the energetics of all eight rotamerization pathways. The computed barrier for VII is in complete agreement with the experimentally measured barrier of the very similar fluorinated carbamate N-Boc-N-(2,2,2-trifluoroethyl)-4-aminobutan-1-ol II. NMR properties of VII were calculated with a variety of density functional/ basis set combinations and Boltzmann averaging over the Eand Z-rotamers at our best theoretical level results in good agreement with experimental chemical shifts  $\delta(^{13}C)$  and J-(13C, 19F) coupling constants of II (within 6%).

#### Introduction

The hindered rotation about conjugated R<sub>3</sub>-CO-NR<sub>1</sub>R<sub>2</sub> amidetype frameworks is among the most studied topics in conformational chemistry and the kinetics of the E/Z isomerization between the N-sp<sup>2</sup> hybridized minima has attracted continued attention.[1-5] Ureas  $R_4R_3N-CO-NR_1R_2$ R<sub>3</sub>O-CO-NR<sub>1</sub>R<sub>2</sub> show similar *E/Z* isomerism. The rotational barrier of parent urea was studied by Stilbs and Forsén, [6] and Bryantsev et al. determined the rotational barriers for a collection of alkyl substituted urea systems.[7] Far fewer carbamate systems have been studied in depth.[8-13]

In the context of our synthetic work on tertiary carbamates we needed to understand their dynamic stereochemistry. While developing syntheses for N-trifluoroethyl lysine and derivatives we worked with compounds I-V (Scheme 1). Compound I is the unprotected starting material, compounds II, III, and IV are tertbutyl carbamate (Boc) protected intermediates, and V is the deprotected product. The <sup>13</sup>C NMR spectra were recorded with <sup>13</sup>C-<sup>1</sup>H decoupling while leaving <sup>13</sup>C-<sup>19</sup>F coupling intact. The spectra are shown in Figure 1 and they feature two quartets for the -CF<sub>3</sub> group of the Boc protected intermediates. Since the two quartets were only detected in the presence of the Boc group, we hypothesized that the two signals were caused by the presence of at least two non-equilibrating isomers.

The CN rotation in amides is essentially independent of the amide N-hybridization and the amino group remains more or less sp<sup>2</sup>-hybridized.<sup>[4]</sup> In contrast, CN rotation in carbamate systems is correlated with changes in N-hybridization (sp<sup>2</sup> to sp<sup>3</sup>) along the isomerization pathways and these isomerizations really are bone fide rotation-inversion processes. While there is only one E-isomer and one Z-isomer of an amide connected by one isomerization path, the stereochemistry of carbamates may allow for several E-isomers and Z-isomers which are connected by several inversion-rotation pathways. These complicating features of the potential energy surfaces must be taken into account to accurately simulate the measured activation barrier for isomerization.

To understand the nature of these isomers, we studied the parent system VI and the model system VII. The potential energy surface (PES) of parent system VI was explored to create a reference point for the larger fluorinated model system VII. The model system VII includes the essential structural features of the species in our experimental work including the methyl carbamate framework to imitate the Boc protecting group, a trifluoroethyl group, and an ethyl group as a small alkyl chain. The electronic structure of fluorinated carbamates is complex because they allow for a variety of intramolecular interactions including electrostatic stabilization of the alkyl group and/or

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Scheme 1. Structures of N-(2,2,2-trifluoroethyl)-4-aminobutan-1-ol (I), N-Boc-N-(2,2,2-trifluoroethyl)-4-aminobutan-1-ol (II), N-Boc-N-(2,2,2-trifluoroethyl)-N-bromobutan-1-amine (III), N-Boc-N-(2,2,2-trifluoroethyl)-N-clysine (V), the parent system N-dimethyl methyl carbamate (VI), and the model system N-ethyl-N-(2,2,2-trifluoroethyl) methyl carbamate (VII).

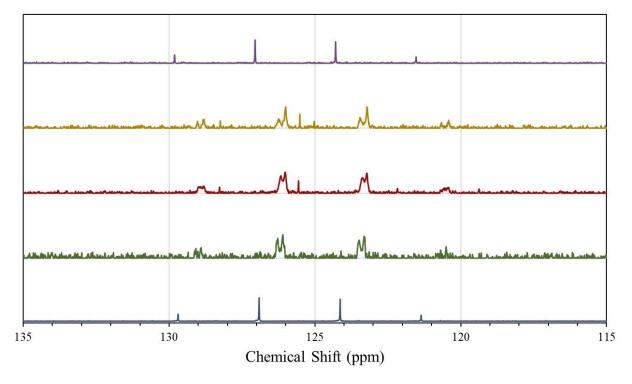


Figure 1. The -CF<sub>3</sub> quartet regions of the experimental <sup>13</sup>C NMR spectra of I (blue), II (green), III (red), IV (yellow), and V (purple).

fluoroalkyl group by the carbamate, [8] and possibly fluorine—oxygen halogen interactions. [14] The wealth of intramolecular noncovalent interactions requires higher levels of correlated electronic structure theory, and we report results of potential energy surface analysis at the MP2 level with higher energy level energies computed up to full MP4(SDTQ) using a

large basis set. We will present a complete potential energy surface analysis of **VII** including the characterization of conformational barriers about the *N*-alkyl single bonds.

Our focus will be the determination of the carbamate E- and Z-rotamers and the rotational barrier between them. The discussion is rendered particularly interesting because the

potential energy surface contains four equilibrium structures of comparable energy: two conformers for each of the E- and Zrotamers. The present work coherently describes a consistent and rigorous method for the theoretical prediction of a single experimental rotational barrier resulting from multi-paths scenarios. This is accomplished with Boltzmann statistics and the consideration of all E/Z-isomerization paths. We will show that the carbamate rotation is correlated with N-pyramidalization and 2D PES scans are presented for the full appreciation of the rotation-inversion paths. The best transition state structures resulted from minimization of the electrostatic repulsion between the N-lone pair (NLP) and the CO<sub>2</sub> moiety and concomitant NLP $\rightarrow \sigma^*(CO)$  negative hyperconjugation. The results of the potential energy surface analysis will be connected directly to the experimental NMR data via computed chemical shifts  $\delta(^{13}C)$  and  $J(^{13}C, ^{19}F)$  coupling constants determined at the most suited DFT levels. The computed carbamate rotational barrier of VII is compared to the experimentally measured barriers of carbamate ester II and related carbamates and contrasted to the barriers in related amides. The results of the present study should be of general interest to chemists

working with carbamates, ureas, and related compounds.

#### **Computational Methods**

There are two parts to the computational study. The first part involves an extensive potential energy surface analysis to locate all the minima, to explore all pathways for N-inversion and bond rotations by scanning appropriate internal coordinates, and the characterization of inversion-rotation via 2D plots of the potential energy surfaces. The second part seeks to establish a direct connection between computed molecular properties and the measured NMR spectra by computation of chemical shifts and <sup>13</sup>C-<sup>19</sup>F coupling constants. With a view to the possible intramolecular interactions in carbamates, we thought it important to explore the potential energy surface with a correlated method that accounts also for dispersion. Therefore, we optimized our systems using second-order Møller-Plesset perturbation theory (MP2) and employed higherorder perturbation theory (MPx) to confirm relative energies. Hartree-Fock and/or DFT methods cannot be fully trusted in studies where electron correlation changes are expected to be large because of CN bond rotation and the associated conjugation change and because non-covalent intramolecular interactions matter. With the structures firmly established, we computed NMR properties using density functional theory (DFT). Computations were performed with Gaussian16<sup>[15]</sup> on the Missouri University of Science and Technology high performance computational cluster. 3D surfaces generated from the carbamate rotational profiles were compiled and generated using Wolfram Mathematica.[16]

### **Potential Energy Surface Analysis**

Møller-Plesset perturbation theory is a post Hartree-Fock computational method that improves on the Hartree-Fock approximation by the addition of electron correlation though Rayleigh-Schrödinger perturbation theory with varying orders of correlation. We utilized second-order Møller-Plesset perturbation theory using the frozen core approximation MP2(fc)[17,18] for geometry optimizations and for the vibrational analysis. The 6-311 + + G(d,p) basis set<sup>[19]</sup> was used; that is, the triple-zeta basis set 6-311G was augmented with diffuse and polarization functions on all atoms. Potential energy surface analyses were performed for gas phase and with the inclusion of a universal solvation model based on solute electron density, [20] SMD[MP2(fc)/6-311++G(d,p)] to simulate the chloroform solution from our experimental data. We also computed energies using third- (MP3)[21] and full fourth-order Møller-Plesset perturbation theory with single (S), double (D), triple (T) and quadruple (Q) excitations using the frozen core approximation (MP4(fc,SDTQ)<sup>[22-24]</sup>) with the same basis set and the MP2(fc)/6-311++G(d,p) geometries. The same higher level MPx calculations were performed with the SMD model based on the structures optimized with the SMD level, SMD[MPx/6-311 + + G(d,p)]//SMD[MP2(fc)/6-311 + + G(d,p)]. Unless otherwise noted, the structures and energies obtained with SMD solvation are discussed.

Total energies and thermochemical parameters computed at the level of optimization SMD[MP2(fc)/6–311 + +G(d,p)] are reported in Table 1. The thermochemical properties are reported unscaled. In Table 2 are listed relative energies of **VI** and **VII** computed at the SMD[MPx] level and the Boltzmann populations for the isomers of **VII**. The respective gas phase data is collected in Table S1 and Table S2 in the Supporting Information. Molecular models of the SMD[MP2] stationary structures are shown in Figures 2 and 4 and selected dihedral angles are summarized in Table 3 and Table S3. Cartesian

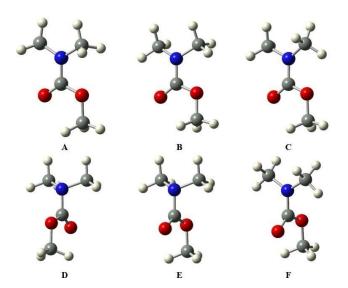


Figure 2. Optimized stationary structures of *N*,*N*-dimethyl methyl carbamate.

Table 1. Tota	l energies and thermoch	emical parameters.	[a,b]				
Species	Total E	VZPE	TE	S	ν	U <sub>298</sub>	G <sub>298</sub>
Α	-362.169033	85.72	90.88	89.37	i164.4	-362.024202	-362.065718
В	-362.170958	86.20	91.55	89.20	102.0	-362.025062	-362.066501
C	-362.169182	85.83	90.92	88.30	70.5	-362.024289	-362.065300
D	-362.148375	85.96	90.59	83.06	i98.2	-362.004017	-362.042538
E	-362.147396	85.81	90.50	83.66	i91.9	-362.003180	-362.041983
F	-362.137695	85.99	90.65	83.33	i97.1	-361.993237	-362.031886
1	-737.832897	107.60	115.70	114.94	41.8	-737.648521	-737.701788
2	-737.833387	107.71	115.75	113.89	47.1	-737.648929	-737.702099
4	-737.832131	107.58	115.66	114.37	38.7	-737.647814	-737.701210
5	-737.832460	107.64	115.70	114.04	41.2	-737.648085	-737.701325
1 a	-737.83062	107.60	115.14	109.98	i72.2	-737.647127	-737.698436
1 b	-737.830893	107.89	115.86	113.29	42.9	-737.646265	-737.699147
2 a	-737.830425	107.70	115.24	110.55	i70.0	-737.646772	-737.698355
2 b	-737.830635	107.94	115.93	113.61	40.7	-737.645897	-737.698930
TS(1,4')	-737.826753	107.71	115.20	109.02	i101.7	-737.643169	-737.694023
TS(2,5')	-737.827117	107.68	115.19	109.11	i105.4	-737.643545	-737.694443
TS(1,4)	-737.822786	107.68	115.20	108.09	i69.7	-737.639208	-737.689620
TS(2,5)	-737.823174	107.60	115.14	108.28	i76.0	-737.639687	-737.690191
3a₁	-737.807051	107.28	114.73	108.29	i69.8	-737.624212	-737.674720
3a <sub>2</sub>	-737.805743	107.45	114.90	109.09	i79.2	-737.622643	-737.673530
3b <sub>1</sub>	-737.807338	107.34	114.81	109.28	i87.4	-737.624370	-737.675348
3b <sub>2</sub>	-737.805424	107.45	114.88	107.74	i78.3	-737.622346	-737.672590
6a <sub>1</sub>	-737.801881	107.14	114.72	109.78	i92.5	-737.619062	-737.670278
6a <sub>2</sub>	-737.812168	107.26	114.77	109.60	i65.8	-737.629277	-737.680408
6b <sub>1</sub>	-737.802847	107.18	114.74	109.69	i88.0	-737.619994	-737.671167
6b <sub>2</sub>	-737.811396	107.29	114.78	109.08	i56.5	-737.628487	-737.679368

[a] All data computed at SMD[MP2/6-311++G(d,p)] with scrf = (smd, solvent = chloroform). [b] Total energies (Total E) in Hartree, vibrational zero-point energies (VZPE) and thermal energies (TE) in kcal mol<sup>-1</sup>, and entropy (S) in cal mol<sup>-1</sup>  $K^{-1}$ . Lowest vibrational wavenumber ( $\nu$ ) in cm<sup>-1</sup>.

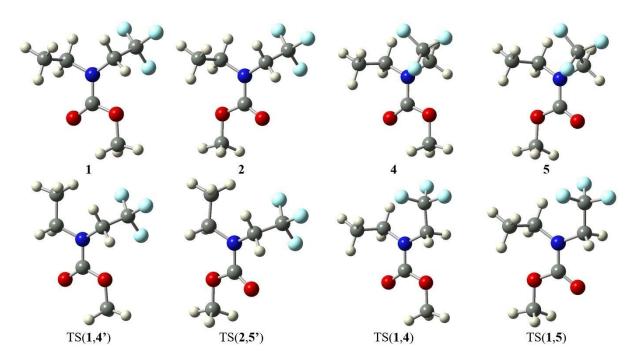


Figure 3. Optimized structures of the minima and alkyl rotation transition state structures of N-ethyl-N-(2,2,2-trifluoroethyl) methyl carbamate.

coordinates are provided in the Supporting Information. Higher level MPx energies are collected in Table S4 (gas phase) and Table S5 (SMD model, chloroform). Relative energies obtained with the SMD[MPx] and MPx data are included in Table 2 and Table S2, respectively.

The inclusion of solvent effects with the SMD model had only minor structural consequences as one might expect for solvents with low polarity and low dielectric constant ( $\epsilon =$ 4.7113). Therefore, we studied 1-dimensional rotational profiles and 2-dimensional rotation-inversion profiles without the SMD

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Relative	SN	SMD[MP2]			SMD[MP4]		
energies <sup>[a,b]</sup>	$\Delta G_{rel}$	$\Delta E_{rel}$		(DQ)	(SDQ)	(SDTQ)	
E <sub>rel,</sub> A vs. B	0.49	1.21	1.07	1.06	1.13	1.29	
E <sub>rel,</sub> C vs. B	0.75	1.11	0.98	1.02	1.10	1.23	
$A_{cr}(\mathbf{D})$	15.04	14.17	14.11	13.86	13.68	13.47	
$A_{cr}(\mathbf{E})$	15.39	14.79	14.61	14.32	14.20	14.12	
$A_{cr}(\mathbf{F})$	21.72	20.87	25.53	25.14	25.04	25.16	
E <sub>rel,</sub> 1 vs. 2	0.20	0.31	0.25	0.26	0.27	0.31	
E <sub>rel,</sub> <b>4</b> vs. <b>2</b>	0.56	0.79	0.73	0.74	0.73	0.72	
E <sub>rel,</sub> <b>5</b> vs. <b>2</b>	0.49	0.58	0.58	0.58	0.55	0.52	
E <sub>rel,</sub> <b>1 b</b> vs. <b>1</b>	1.66	1.26	1.41	1.41	1.33	1.15	
E <sub>rel,</sub> <b>2 b</b> vs. <b>2</b>	1.99	1.73	1.83	1.86	1.79	1.66	
$A_{\rm ar}(\mathbf{1,4'})$	4.87	3.86	3.77	3.79	3.78	3.70	
A <sub>ar</sub> ( <b>2,5</b> ′)	4.80	3.93	3.86	3.90	3.86	3.75	
A <sub>ar</sub> (1,1 a)	2.10	1.43	1.51	1.52	1.46	1.36	
A <sub>ar</sub> (1 b,1 a)	0.45	0.17	0.10	0.11	0.13	0.21	
$A_{ar}(\mathbf{2,2a})$	2.35	1.86	1.87	1.91	1.88	1.84	
$A_{\rm ar}^{\rm m}$ (2 b,2 a)	0.36	0.13	0.04	0.06	0.09	0.18	
A <sub>ar</sub> (1,4)	7.64	6.34	6.33	6.36	6.31	6.17	
A <sub>ar</sub> (2,5)	7.47	6.41	6.28	6.32	6.33	6.28	
1 to 2							
$E_{\rm rel.}$ 3a <sub>1</sub> vs. 3b <sub>1</sub>	0.39	0.18	0.33	0.27	0.26	0.20	
E <sub>rel,</sub> 3a <sub>2</sub> vs. 3b <sub>1</sub>	1.14	1.00	1.16	1.12	1.04	0.92	
$E_{\rm rel.}$ 3b <sub>2</sub> vs. 3b <sub>1</sub>	1.73	1.20	1.30	1.24	1.17	1.11	
$A_{\rm cr}(1,3b_1)$	16.59	16.04	15.93	15.72	15.50	15.19	
$A_{cr}(\mathbf{2,3b_1})$	16.79	16.35	16.18	15.98	15.77	15.50	
4 to 5							
E <sub>rel.</sub> <b>6a</b> <sub>1</sub> vs. <b>6a</b> <sub>2</sub>	6.36	6.46	6.24	6.29	6.25	6.30	
$E_{\rm rel.}$ <b>6b</b> <sub>1</sub> vs. <b>6a</b> <sub>2</sub>	5.80	5.85	5.70	5.75	5.69	5.69	
$E_{\rm rel}$ , $6b_2$ vs. $6a_2$	0.65	0.48	0.43	0.40	0.45	0.52	
$A_{\rm cr}(\mathbf{4,6a_2})$	13.05	12.53	12.65	12.33	12.12	11.83	
$A_{\rm cr}(5,6a_2)$	13.13	12.73	12.80	12.50	12.30	12.02	
Isomer populations <sup>[c]</sup>							
p(1)	0.28	0.27	0.28	0.28	0.27	0.26	
p( <b>2</b> )	0.39	0.45	0.43	0.43	0.43	0.44	
p( <b>4</b> )	0.15	0.12	0.13	0.12	0.13	0.13	
p( <b>5</b> )	0.17	0.17	0.16	0.16	0.17	0.18	
p( <b>1,4</b> )	0.44	0.38	0.41	0.40	0.40	0.39	
p( <b>2,5</b> )	0.56	0.62	0.59	0.60	0.60	0.61	

[a] All data computed with the 6-311++G(d,p) basis set and based on the SMD[MP2(fc)/6-311++G(d,p)] structures with scrf=(smd, solvent=chloroform). [b] Activation energies with respect to *N*-inversion ( $A_{inv}$ ), *N*-alkyl rotation ( $A_{ai}$ ), and carbamate rotation ( $A_{ci}$ ), and relative isomers energies ( $E_{rel}$ ) in terms of electronic energy ( $\Delta E_{rel}$ ) and Gibbs' free energy ( $\Delta G_{rel}$ ) in kcal mol<sup>-1</sup>. [c] Boltzmann populations  $p(\mathbf{n})$ .

solvent system. However, all stationary structures were optimized using SMD[MP2].

### **NMR Computations**

The GIAO method<sup>[25,26]</sup> was employed to compute nuclear magnetic shielding for minima **1**, **2**, **4**, and **5** of model **VII**. The shielding of tetramethylsilane (TMS) was also computed at the same level to determine chemical shifts. The C–F coupling constants were computed with the mixed spin-spin method. [27] Several functionals were investigated including B3LYP<sup>[28]</sup> and the HF mixed local density functionals BHandH [Eq. (1)] and BHandHLYP [Eq. (2)]. [29] Both the BHandH and BHandHLYP functionals includes contributions from the HF exchange functional, the local spin density approximation, and the correlation energy density functional by Lee, Yang, and Parr. [30,31] BHandHLYP also incorporates contributions from Becke's 1988 func-

tional which includes Slater exchange and density gradient corrections.<sup>[32]</sup>

**BHandH**: 
$$0.5 \cdot E_X^{HF} + 0.5 \cdot E_X^{LSDA} + E_C^{LYP}$$
 (1)

**BHandHLYP**: 
$$0.5 \cdot E_{X}^{HF} + 0.5 \cdot E_{X}^{LSDA} + \Delta E_{X}^{Becke88} + E_{C}^{LYP}$$
 (2)

A variety of basis sets were explored in the NMR computations including two variations of the 6–311G basis set; one with diffuse and expanded polarization functions applied to heavy atoms, 6-311+G(2d,p), and one with diffuse and expanded polarization functions on both heavy atoms and hydrogen, 6-311++G(3df,3pd). Augmented correlation consistent basis sets were also investigated including the triple-and quadruple-zeta basis sets aug-cc-pVTZ and aug-cc-pVQZ. A universal solvation model based on solute electron density (SMD) was also applied in the NMR calculations in an effort to reproduce the chloroform solution employed in the measurement of the  $^{13}$ C NMR spectra.

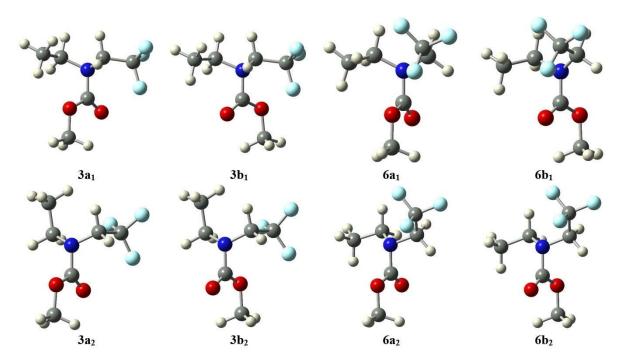


Figure 4. Optimized structures of the carbamate rotational transition state structures of N-ethyl-N-(2,2,2-trifluoroethyl) methyl carbamate.

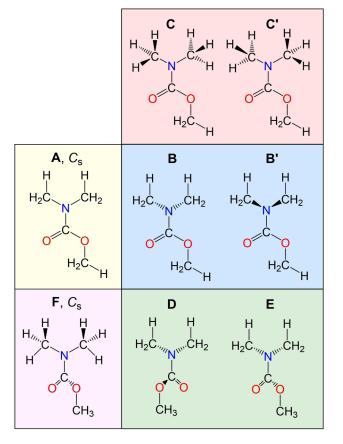
#### **Results and Discussion**

## Potential Energy Surface Analysis of N,N-Dimethyl Methyl Carbamate

Lewis structures of *N,N*-dimethyl methyl carbamate are shown in Scheme 2, molecular models of the optimized structures **A–E** are shown in Figure 2 and energy data are collected in Tables 1 and 2. Table 3 lists dihedral angles that are pertinent to the discussion

Based on simple concepts of resonance stabilization one might expect the  $C_s$ -symmetric structure **A** to be a minimum. Yet, we find **A** to be a second-order saddle point (SOSP) structure and the imaginary modes correspond to N-inversion (i171.4 cm<sup>-1</sup>) and methyl rotations about the C-N bonds in opposite directions (i80.4 cm<sup>-1</sup>). The minima are a pair of enantiomers B and B' with modest N-pyramidalization characterized by the improper dihedral angle  $\pi = \angle(H_3C - N - C_{carb})$ CH<sub>3</sub>) = 210.35° where the first methyl is proximate to the carbamate methoxy group. At the level of optimization B is  $\Delta G_{rel} = 0.49$  kcal/mol preferred over **A**. Structures **A** and **B** adopt H<sub>3</sub>C-N bond conformations that place one hydrogen from each N-methyl group trans to the carboxylate group. The degree of pyramidalization  $\psi = |\pi - 180^{\circ}|$  is tabulated alongside improper dihedral  $\boldsymbol{\pi}$  for each conformation to describe the absolute deviation from a planar state.

The conformations about the  $H_3C-N$  bonds for structures A-C are described by dihedral angles  $\alpha$  and  $\beta$  where  $\alpha=\angle(MeO_2C-N-CH_2-H_{CO})$  refers to the methyl group on the carbonyl side,  $\beta=\angle(MeO_2C-N-CH_2-H_{COMe})$  refers to the methyl group on the methoxy side, and both refer to the methyl hydrogen that is most trans to the carbamate carbon. In the  $C_s$ 



**Scheme 2.** Lewis structures of the stationary structures of *N,N*-dimethyl methyl carbamate.



**Table 3.** Proper and improper dihedral angles (all in  $^{\circ}$ ) of the conformations of *N,N*-dimethyl methyl carbamate **VI** and *N*-ethyl-*N*-(2,2,2-trifluoroethyl) methyl carbamate **VII**. [a-c]

Species	Proper dihedral ρ	Improper dihedral $\pi$	ψ	Proper dihedral $lpha$	Proper dihedral $eta$
Α	180.00	180.00	0.00	180.00	180.00
В	-164.17	210.35	30.35	159.11	-165.64
c	175.26	167.93	12.07	159.64	145.44
D	-60.67	238.67	58.67	175.70	-175.70
E	117.76	235.53	55.53	170.62	-170.62
F	88.89	177.77	2.23	~	~
				Proper	Proper
				Dihedral $\gamma$	Dihedral $\delta$
1	173.88	165.50	14.50	81.98	99.71
2	-7.82	160.37	19.63	77.81	102.27
4	-175.14	185.76	5.76	76.32	-99.86
5	5.65	187.17	7.17	77.81	-103.74
1a	-171.48	191.90	11.90	133.85	89.26
1 b	-166.14	199.24	19.24	152.06	86.33
2a	3.72	186.15	6.15	129.42	97.00
2b	9.68	194.71	14.71	149.40	92.05
TS(1,4')	172.35	161.75	18.25	-177.33	94.41
TS(2,5')	-6.83	159.39	20.61	179.99	94.02
TS(1,4)	-176.41	185.72	5.72	80.97	-175.24
TS( <b>2,5</b> )	1.99	183.75	3.75	80.29	-173.18
3a <sub>1</sub>	-100.75	132.83	47.17	83.13	70.83
3a <sub>2</sub>	-64.49	222.12	42.12	169.64	72.25
3b <sub>1</sub>	69.19	130.97	49.03	80.54	71.51
3b <sub>2</sub>	118.71	219.83	39.83	169.69	71.75
6a₁	-101.85	152.27	27.73	58.20	<b>-91.97</b>
6a <sub>2</sub>	-63.63	235.41	55.41	61.27	134.05
6b₁	77.81	151.92	28.08	54.58	-85.32
6b <sub>2</sub>	117.24	233.10	53.10	56.02	-136.11

[a] All data based on the SMD[MP2(fc)/6–311++G(d,p)] structures with scrf=(smd, solvent=chloroform). [b] Degree of pyramidalization  $\psi=\mid \pi-180^\circ\mid$ . [c] Proper dihedral angles  $\alpha=\angle(\text{MeO}_2\text{C}-\text{N-CH}_2-\text{H}_{cO}),~\beta=\angle(\text{MeO}_2\text{C}-\text{N-CH}_2-\text{H}_{cO}),~\beta=\angle(\text{MeO}_2\text{C}-\text{N-CH}_2-\text{CH}_3),~\text{and}~\delta=\angle(\text{MeO}_2\text{C}-\text{N-CH}_2-\text{CF}_3).$  For the parent system A–E,  $\rho=\angle(\text{H}_3\text{C}-\text{N-C}(\text{OMe})=\text{O}),~\pi=\angle(\text{H}_3\text{C}-\text{N-C}_{\text{carb}}\text{--}\text{CH}_3).$  For the fluorinated model systems VII  $\rho=\angle(\text{F}_3\text{CH}_2\text{C}-\text{N-C}(\text{OMe})=\text{O}),~\pi=\angle(\text{F}_3\text{C}-\text{N-C}_{\text{carb}}\text{--}\text{CH}_2\text{CH}_3).$ 

symmetric transition state structures **D** and **E** the methyl groups are symmetry related and the definition of  $\alpha$  and  $\beta$  requires an additional rule to prioritize the methyl groups. The magnitude of the parameter  $\pi$  depends on the priorities of the two methyl groups and we chose to assign the priorities such that  $\pi\!>\!180^\circ.$  Therefore, in Scheme 2 the methyl group in the upper right position has higher priority over the methyl group in the upper left position. The dihedral angle  $\beta$  is defined using the higher priority methyl group, and  $\alpha$  is defined using the lower priority methyl group.

The rotation about the methyl *N*-bonds is essentially free with an activation barrier for *N*-alkyl rotation  $A_{\rm ar}$  of  $\Delta G_{\rm rel} = 1.0$  kcal/mol, proceeds via transition state structures **C** ( $\alpha = 159.6^{\circ}$ ;  $\beta = 145.4^{\circ}$ ) and **C**' ( $\alpha = -159.6^{\circ}$ ;  $\beta = -145.4^{\circ}$ ) and is geared in that the methyl rotation about one H<sub>3</sub>C–N bond is accompanied by methyl rotation about the other H<sub>3</sub>C–N bond in the opposite direction. The rotations about the H<sub>3</sub>C–N bonds proceed with a reduction of the *N*-pyramidalization to  $\pi$ (**C**) = 167.9° in the rotational transition state structures **C** and **C**'.

The barrier to internal rotation about the Me<sub>2</sub>N–CO<sub>2</sub>Me carbamate bond is more interesting because this rotation

disrupts the amide-type resonance, and it is characterized by the proper dihedral angle  $\rho=\angle(H_3C-N-C(OMe)=O),$  where we define  $\rho$  with the N-methyl group that is on the same side as the carbamate methoxy group in structures A–C and the higher priority methyl group in D and E.

We located transition state structures  $\mathbf{D}$  ( $\rho = -60.7^{\circ}$ ) and  $\mathbf{E}$  ( $\rho = 117.8^{\circ}$ ) and it is notable that their  $\rho$  values deviate from 90° because the carbamate rotation proceeds with N pyramidalization;  $\pi(\mathbf{D}) = 238.7^{\circ}$  and  $\pi(\mathbf{E}) = 235.5^{\circ}$ . The N-lone pair is on the same side as the methoxy group in  $\mathbf{D}$  while it is next to the carbonyl group in  $\mathbf{E}$ . At the level of optimization, there is a small preference for  $\mathbf{D}$  over  $\mathbf{E}$  and the lowest activation barrier  $A_{cr}$  for the carbamate rotation is  $\Delta G_{rel} = 15.0$  kcal/mol. We will consider  $\mathbf{F}$ , the second-order saddle point (SOSP) that separates  $\mathbf{D}$  and  $\mathbf{E}$ , in the neighboring interactions section (*vide infra*).

Table 2 lists the  $\Delta G_{\rm rel}$  and  $\Delta E_{\rm rel}$  values computed at SMD[MP2(fc)/6–311++G(d,p)] and allows comparison to the  $\Delta E_{\rm rel}$  values computed at the higher SMD[MPx] levels. As can be seen, the level of optimization provides an accurate approximation to the data obtained at our highest level SMD[MP4(SDTQ)]. The largest theoretical level dependency occurs for the activation barriers associated with carbamate rotation, and this is expected because the disruption of carbamate resonance is associated with substantial changes in the electronic structures.

#### Potential Energy Surface Analysis of N-Ethyl-N-(2,2,2-Trifluoroethyl) Methyl Carbamate

#### **Conformations**

Lewis structures of *N*-ethyl-*N*-(2,2,2-trifluoroethyl) methyl carbamate **VII** are shown in Scheme 3, molecular models of the optimized structures are shown in Figures 3 and 4, energy data is included in Tables 1 and 2, and dihedral angles and degree of pyramidalization are collected in Table 3.

Each N-CH<sub>2</sub> bond allows for two possible conformations, the carbamate N-CO<sub>2</sub>Me bond allows for two rotamers, and therefore we expect eight stereoisomers and they come in four pairs of enantiomers. In the blue highlighted area of Scheme 3, we show four unique minima 1, 2, 4 and 5 which have in common that the conformation about the N-ethyl bond places the methyl group in proximity to one of the carbamate oxygens. In structures 1 and 2 the conformation about the Ntrifluoroethyl group places the -CF<sub>3</sub> group on the opposite face and the carbamate rotation is accomplished via transition state structures 3. In structures 4 and 5 the -CF<sub>3</sub> group is on the same face as the CH<sub>3</sub> group, and the carbamate rotation is accomplished via transition state structures 6. For the forthcoming discussion of bond rotations and nitrogen inversions, it enhances clarity to include the drawings of 1', 2', 4', and 5' in Scheme 3 to recognize these structures as the enantiomers of 1, 2, 4, and 5, respectively.

The conformations about the N–CO $_2$ Me bond are described by the proper dihedral  $\rho = \angle(F_3CH_2C-N-C(OMe)=O)$ . The conformations about the  $H_2C-N$  bonds are described by dihedral

Scheme 3. Lewis structures of stationary structures of *N*-ethyl-*N*-(2,2,2-trifluoroethyl) methyl carbamate. Minima (blue and green), ethyl C–N rotational transition state structures (yellow), trifluoroethyl C–N rotational transition state structures (orange), and carbamate rotational transition state structures (red).

angles  $\gamma$  and  $\delta,$  where  $\gamma\!=\!\angle(MeO_2C\!-\!N\!-\!CH_2\!-\!CH_3)$  and  $\delta\!=\!\angle(MeO_2C\!-\!N\!-\!CH_2\!-\!CF_3).$  The pyramidal nitrogen is characterized by the improper dihedral angle  $\pi\!=\!\angle(F_3CH_2C\!-\!N\!-\!C_{carb}\!^{...}CH_2CH_3)$  and the derived parameter,  $\psi=|\pi\!-\!180^\circ|,$  the degree of pyramidalization.

In structures 1 and 4 the carbamate carbonyl is on the opposite side relative to the fluorinated ethyl group with  $|\rho|=174.5\pm0.6^\circ$  (the *E*-rotamers), and in 2 and 5 it is on the same side with  $|\rho|=6.7\pm1.1^\circ$  (the *Z*-rotamers). There are several options for the conformations about the N–CH<sub>2</sub>CH<sub>3</sub> and the N–CH<sub>2</sub>CF<sub>3</sub> bonds. One might expect a trans conformation with respect to the –CO<sub>2</sub>Me group for at least one of the two alkyl groups. However, we found that neither the CH<sub>3</sub> group nor the –CF<sub>3</sub> group are placed in such a trans position. Instead, both groups are placed above or below the best carbamate plane in clinal structures with dihedral angles  $|\gamma|=78.5\pm2.1^\circ$  and  $|\delta|=101.4\pm1.7^\circ$ .

This scenario allows for two stereoisomers for each carbamate rotamer that come as pairs of enantiomers. We chose to show only the stereoisomers with positive  $\gamma$  dihedral

angles for minima 1, 2, 4, and 5 in Figures 3 and 4. Structures 1 and 2 have the methyl and the  $-CF_3$  groups on opposite sides of the carbamate plane ( $\delta$  is positive), while those groups are on the same side in structures 4 and 5 ( $\delta$  is negative).

Isomer Z-2 is the most stable and the relative energies of E-1, E-4, and Z-5 are listed in Table 2. Z-2 is preferred over Z-5 by  $\Delta G_{\rm rel} = 0.49$  kcal/mol and E-1 is more stable than E-4 by  $\Delta G_{\rm rel} = 0.48$  kcal/mol. The energy of E-1 relative to Z-2 is the Z-preference energy and is calculated to be  $\Delta G_{\rm rel} = 0.2$  kcal/mol at the level of optimization. Note that the theoretical level dependency of the total energy is very modest, and the relative energies  $\Delta E_{\rm rel}$  computed at the MP2 and full MP4 levels are usually less than 0.1 kcal/mol. At the bottom of Table 2, the Boltzmann populations are provided for the minima  $p(\mathbf{n})$  and for the E- and Z-ensembles  $p(\mathbf{n},\mathbf{m})$ . The Z-rotamers 2 and 5 have a combined population of  $p(\mathbf{2},\mathbf{5})=0.56$  and a small advantage over the combined E-population  $p(\mathbf{1},\mathbf{4})=0.44$ .

#### Rotational Profiles about the N-Alkyl Bonds

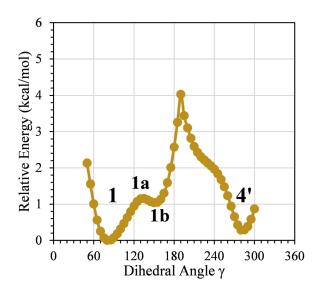
The *N*-ethyl rotational profiles of **VII** were studied by scanning the proper dihedral angle  $\gamma$  (Figure 5). Rotation of the methyl group in 1 away from the carbamate function leads to 4' as shown in Scheme 3 via transition state structure TS(1,4') with  $\gamma=-177.3^{\circ}$  (Table 3). The analogous rotation of the methyl group in 2 leads to 5' as shown via TS(2,5') with  $\gamma=179.9^{\circ}$ . The activation barriers  $A_{\rm ar}$  for these CN bond rotations are small as expected with  $A_{\rm ar}(1,4')=4.9$  kcal/mol and  $A_{\rm ar}(2,5')=4.8$  kcal/mol (Table 2).

An interesting feature of the rotational profiles is the appearance of shallow local minima 1b at  $\gamma\!\approx\!152.1^\circ\text{and}$  2b at  $\gamma\!\approx\!149.4^\circ.$  We optimized 1b and 2b as well as the adjacent minor barriers 1a and 2a, respectively, and their energies are included in Table 1. Both 1b and 2b do not qualify as stationary

minima because the height of the adjacent barriers is so low that the well does not support a bound vibrational state;  $A_{ar}(1 \, \mathbf{b}, 1 \, \mathbf{a}) = 0.45 \, \text{kcal/mol}$  and  $A_{ar}(2 \, \mathbf{b}, 2 \, \mathbf{a}) = 0.36 \, \text{kcal/mol}$ .

The *N*-trifluoroethyl rotational profile of **VII** was studied by scanning the proper dihedral angle  $\delta$  (Figure 6). Rotation of the –CF<sub>3</sub> group in 1 through the carbamate plane leads to minimum **4** as shown in Scheme 3 via transition state structure TS(1,**4**) with  $\delta = -175.2^{\circ}$ . By analogy, rotation of the –CF<sub>3</sub> group in **2** around the N–CH<sub>2</sub>CF<sub>3</sub> leads to **5** via TS(2,**5**) with  $\delta = -173.2^{\circ}$ . The activation barriers  $A_{\rm ar}(1,4) = 6.34$  kcal/mol and  $A_{\rm ar}(2,5) = 6.41$  kcal/mol are slightly larger than the  $\gamma$  rotational profiles.

We recognize that TS(1,4) is the enantiomer of the transition state structure for the enantiomeric process of 1' to 4', TS(1,4) = TS'(1',4'), and by analogy TS(2,5) = TS'(2',5'). Enantiomerization



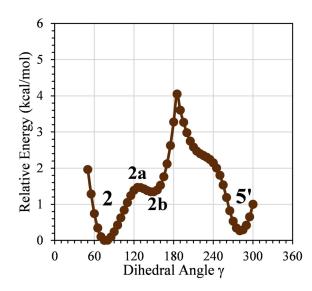
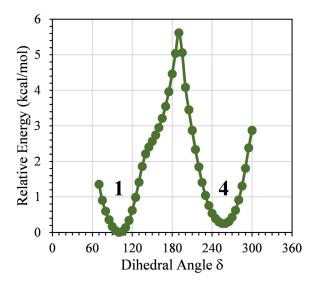


Figure 5. N-alkyl rotational profiles about the Et-N bond as a function of dihedral angle γ of 1 to 4' (left, yellow circles) and 2 to 5' (right, brown circles).



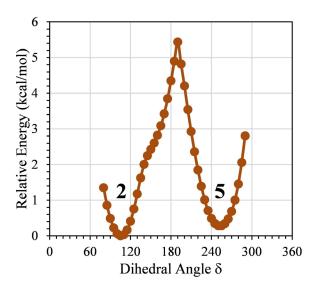


Figure 6. N-alkyl rotational profiles about the  $F_3CH_2C-N$  bond as a function of dihedral angle  $\delta$  of 1 to 4 (left, green circles) and 2 to 5 (right, orange circles).

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of any one minimum requires a two-step process. For example, the enantiomerization of 1 to 1' can be accomplished either via 1 -[TS(1,4')] $\rightarrow$ 4' -[TS(1',4')] $\rightarrow$ 1' where -CH<sub>3</sub> rotation precedes  $-CF_3$  rotation or by the sequence 1  $-[TS(1,4)] \rightarrow 4$ -[TS(1',4)] $\rightarrow$ 1' where -CF<sub>3</sub> rotation precedes -CH<sub>3</sub> rotation. The ensembles of stereoisomers {1, 4} and {2, 5} interconvert and enantiomerize fast, and interconversion between any members of these ensembles requires carbamate rotation.

#### Rotational Profiles about the Carbamate CF<sub>3</sub>CH<sub>2</sub>-N(Et)-C(OMe) = O Bond and Rotation-Inversion Surface **Analysis**

The CN carbamate rotational profiles of VII were studied by scanning the proper dihedral angle  $\rho$  (Figure 7) with surprising outcomes. Our first approach aimed to generate the relaxed  $\rho$ scans driving  $\rho$  from structure 2 to 1 in different directions and we obtained transition state structures  $3a_1$  by reducing  $\rho$  and  $3a_2$  by increasing  $\rho$ . We then drove  $\rho$  from 1 to 2 and again in different directions and obtained two more transition state structures: reducing  $\rho$  led to  $3b_1$  and increasing  $\rho$  led to  $3b_2$ . The same situations occurred by scanning from 4 to 5 and from 5 to 4 and resulted in four more transition state structures 6. In all cases, the relaxed scans collapsed shortly after reaching any of the transition state structures 3 or 6. The discontinuities in the standard relaxed scans are a consequence of the correlation between carbamate bond rotation and the N-pyramidalization. We will model continuous path for carbamate rotation on 2dimensional  $E(\rho,\pi)$  surfaces (vide infra). However, even based on the information obtained from the 1-dimensional  $E(\rho)$  scans shown in Figure 7, it is apparent which rotational transition state structure is the lowest in each ensemble.

There are eight transition state structures for carbamate rotation (Scheme 3) because there are two isomerization reactions 1 ⇒ 2 via 3 and 4 ⇒ 5 via 6 and each one of these

reactions can be realized with carbamate bond rotations  $\rho$  in two directions (a or b) and two directions for N pyramidalization  $\pi$  (subscripts 1 or 2). It was found that  $3b_1$  is the preferred transition state structure for the isomerization 1 ⇌ 2, and that the path via  $6a_2$  is preferred for the process  $4 \rightleftharpoons 5$ .

To model continuous paths for carbamate rotation it became necessary to build 2-dimensional  $E(\rho,\pi)$  surfaces that show the potential energy as a function of both carbamate rotation  $\rho$  and N-pyramidalization  $\pi$ . We generated two surfaces  $E(\rho,\pi)$  for each isomerization  $1\rightleftharpoons 2$  and  $4\rightleftharpoons 5$  by compiling large numbers of  $\pi$  scans for discrete  $\rho$  values. The surfaces that show the transition state structures 3b and 6a are shown in Figure 8, and the other two surfaces with the transition state structures 3a and 6b are provided in Figure S1.

The activation energy from each minimum to each adjacent transition state was calculated at the level of optimization, SMD[MP2] and higher-order SMD[MPx//MP2] levels. The average variance between the SMD[MP2] energy and the highest SMD[MP4(SDTQ)//MP2] energies is only  $\Delta\Delta E \approx$  0.78 kcal/mol (Table 2), demonstrating that SMD[MP2] adequately describes the activation energies in our carbamate rotational profiles.

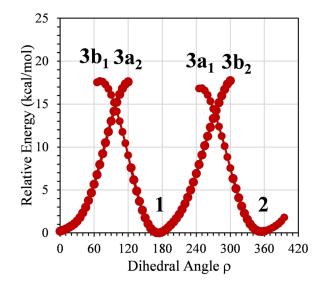
$$A_{rot}(\textbf{VII}) = F_2 \sum {F_{3i}}^* A_{cr}(2,3\textbf{i}) + \ F_5 \sum {F_{6i}}^* A_{cr}(5,6\textbf{i}) \eqno(3)$$

$$F_{3i} = \frac{p_{3i}}{\sum p_{3i}} = e^{-\frac{E_{3i}}{RT}} / \sum e^{-\frac{E_{3i}}{RT}}$$
 (4)

$$F_{6i} = \frac{p_{6i}}{\sum p_{6i}} = e^{\frac{E_0}{RT}} / \sum e^{\frac{E_0}{RT}}$$
 (5)

$$F_2 = \frac{p_2}{p_2 + p_5} = e^{-\frac{E_2}{RT}} / (e^{-\frac{E_2}{RT}} + e^{-\frac{E_5}{RT}})$$
 (6)

$$F_5 = \frac{p_5}{p_2 + p_5} = e^{-\frac{E_5}{RT}} / (e^{-\frac{E_5}{RT}} + e^{-\frac{E_5}{RT}})$$
 (7)



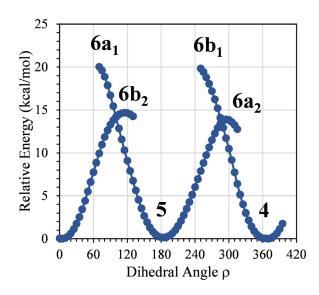


Figure 7. Carbamate rotational profiles from 1 to 2 (red circles) with 3b surface plot, and from 4 to 5 (blue circles) with 6a surface plot.

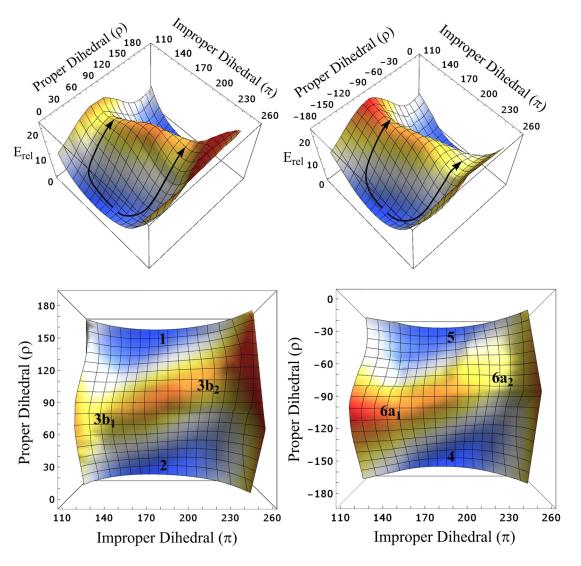


Figure 8. Rotation-inversion surfaces  $E(p,\pi)$  for E/Z-isomerizations E-1=Z-2 (left) via TS structures 3 b and E-4=Z-5 (right) TS structures 6 a.

The measured overall activation barrier  $A_{rot}(VII)$  for E/Zisomerizations 1 ⇌ 2 and 4 ⇌ 5 depends on the probabilities of eight isomerization pathways and is determined via Equation (3). Equation (3) assumes that the CN-rotations (ca. 100 cm<sup>-1</sup> in minima, ca. i100 cm<sup>-1</sup> in TS structures) and the Ninversions (ca. 230 cm<sup>-1</sup>) are independent which appears justified based on the separation of their vibrational frequencies. The relative probability for a path via a transition state structure **3i** (i =  $a_1$ ,  $a_2$ ,  $b_1$ ,  $b_2$ ) with activation barrier  $A_{cr}(\mathbf{2},\mathbf{3i})$  is weighted by the partition function  $F_{3i}$  which depends on the Boltzmann populations  $p_{3i}$  [Eq. (4)]. In analogy, the relative probability for a path via a transition state structure 6i with  $A_{cr}(5,6i)$  is weighted by the partition function  $F_{6i}$  [Eq. (5)]. The factors  $F_2$  and  $F_5$  account for the Boltzmann populations of 2 and 5 [Eqs. (6) and (7)]. Equations (3)-(7) were evaluated using Gibbs's free energies  $G_{298}$  calculated at SMD[MP2(fc)/6-311 + + G(d,p)] and reported in Table 1 and result in our final computational estimate of the overall rotation-inversion barrier  $A_{rot}(VII)$  -= 15.90 kcal/mol.

It is a major conceptual advance to discuss *multi-paths* scenarios as compared to the simple scenario involving just one pair of TS structures. Because of the presence of the ethyl and trifluoroethyl groups, our *multi-paths* scenario involves four pairs of TS structures; see the pairs  $3a_2/3b_2$ ,  $3a_1/3b_1$ ,  $6a_1/6b_1$ , and  $6a_2/6b_2$  in our manuscript (Scheme 3). The very point is that the reductionist approach fails; that is, the analysis of a basic model system (such as VI) does not inform in a sufficient manner about more complicated systems (such as VII). The effects of longer chain *N*-alkyl substitution and/or fluorination greatly affects the number of stable minima and TS structures, and by extension the overall rotational barrier.

In related experimental studies,<sup>[34]</sup> the rotational barrier of carbamate II (Figure 1) was determined by variable temperature <sup>13</sup>C and <sup>19</sup>F NMR measurements. The analysis using the modified Eyring equation<sup>[35,36]</sup> resulted in the rotational energy barrier of  $A_{\rm exp}({\bf II})=15.93\pm0.59$  kcal/mol, in close agreement with the computed estimate of  $A_{\rm rot}({\bf VII})$ . Rotational barriers were also determined experimentally for similar *N,N*-dialkyl *O*-alkyl carba-

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mates. Schädel et al. reported carbamate rotational barriers of  $\Delta G\!=\!15.2$  kcal/mol and  $\Delta G\!=\!15.1$  kcal/mol for the symmetric bis(2-(2-vinylsulfonyl)ethoxy)ethyl tert-butyl carbamate and the asymmetric methyl(2-(2-vinylsulfonyl)ethoxy)ethyl tert-butyl carbamate, respectively. Wiest et al. showed that N-alkyl-N-phenyl alkyl carbamates may feature even lower rotational barriers such as  $\Delta G\!=\!12.3$  kcal/mol for methyl(phenyl) tert-butyl carbamate.  $^{[9]}$ 

The CN rotation of ureas  $R_4R_3N$ –CO– $NR_1R_2$  have been shown to be similar to carbamates but proceed with smaller rotational barriers than carbamates. A rotational barrier of 11.5 kcal/mol was measured for parent urea by Stilbs and Forsén using  $^1H$  NMR.  $^{[6]}$  Bryantsev et al. calculated rotational barriers ranging from 8.16 to 10.93 kcal/mol for a collection of alkyl substituted urea systems (R = Me, Et, i-Pr).  $^{[7]}$ 

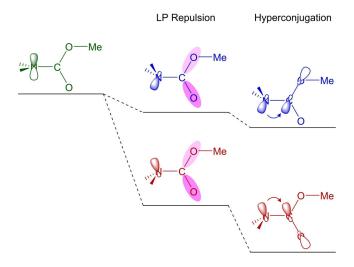
The rotational energy barriers calculated here for carbamate **VII**, and measured for similar carbamates, are lower than the well-studied rotational barriers of *N*,*N*-dialkylamides. The effect of solvent was studied by Wiberg et al. for *N*,*N*-dimethyl formamide and *N*,*N*-dimethyl-acetamide and determined to be 20.05 kcal/mol and 16.89 kcal/mol in CCI<sub>4</sub>, respectively. <sup>[37]</sup> The effects of solvent and alkyl length were also studied for other *N*,*N*-dimethyamides, <sup>[38]</sup> and the activation barriers were found to be 17.3 kcal/mol and 16.56 kcal/mol for dimethylpropamide and dimethylbutamide (neat), respectively. In *N*-acyl substituted amides, the amide rotational barrier can be drastically reduced. For example, Szostak et al. studied hydantoins, an amide-like heterocycle found commonly in medicinal drugs and determined a rotational barrier of 6.1 kcal/mol for 3-benzoylimidazo-lidine-2,4-dione. <sup>[5]</sup>

The small reduction of the activation barrier in carbamates as compared to amides is readily understood. In the equilibrium structures of amides one amino group engages in electron  $\pi$ -donation towards the electron-deficient carbonyl-C, whereas in carbamates the amino group and the alkoxy group compete for donating electron density to the carbonyl-C.

#### **Neighboring Interactions**

#### NLP/CO<sub>2</sub> Repulsion

Several structural features contribute to the stability of transition state structures **3** and **6** (Figure 9). The first contribution is the NLP/CO<sub>2</sub> electrostatic repulsion between the *N*-lone pair (NLP) and the electron densities of the CO bonds, and this repulsion depends on the *N*-lone pair hybridization. Therefore, our analysis includes the consideration of the structures in the second-order saddle point (SOSP) region with sp²-hybridized *N*-lone pairs ( $\pi = 180^{\circ}$ ,  $\rho = \pm 90^{\circ}$ ). The p-type NLP in these *N*-sp² structures causes electron repulsion with *both* CO bonds (Figure 9, left). *N*-Pyramidalization removes NLP density from the vicinity of one CO bond and orients NLP density away from the other CO bond (Figure 9, center). The overall reduction of NLP/CO<sub>2</sub> repulsion can be quantified by the carboxylate bond angle  $\chi = \angle(O-C-O)$ , and the  $\chi$  angles are compiled in Table 4. The  $\angle(O-C-O)$  bond angle is smallest for the *N*-sp² structures



**Figure 9.** Two possible pathways of stabilization of an SOSP (left) with LP repulsion (center) and negative hyperconjugation (right) for transition state structures **3** and **6**;  $NLP \rightarrow \sigma^*_{CO}$  (red) and  $NLP \rightarrow \sigma^*_{CMe}$  (blue).

Table 4. ∠(C system F.	)—C—O) Bond	angle of m	nodel system	3 and 6 ar	d parent
Structure	χ[°]	Structure	χ [°]	Structure	χ [°]
(N-sp <sup>2</sup> ) <sub>3a</sub> 3a <sub>1</sub> 3a <sub>2</sub>	123.3 123.9 124.2	( <i>N</i> -sp <sup>2</sup> ) <sub>3b</sub> 3b <sub>1</sub> 3b <sub>2</sub>	123.5 124.5 124.2	( <i>N</i> -sp²) <sub>6a</sub> 6a <sub>1</sub> 6a <sub>2</sub>	123.5 123.8 124.6
	Structure	χ [°]	Structure	χ [°]	
	(N-sp <sup>2</sup> ) <sub>6b</sub> 6b <sub>1</sub> 6b <sub>2</sub>	123.4 124.0 123.6	F D E	122.3 124.1 123.5	

(largest NLP/CO $_2$  repulsion with 2 CO bonds), followed by structures placing the NLP near the C=O bond (partial NLP/CO $_2$  repulsion), and the largest angle is observed for structures that place the NLP near the C=OMe bond (lowest NLP/CO $_2$  repulsion). The largest  $\angle$ (O=C=O) bond angle was observed for the lower energy TS structures from each ensemble,  $3a_2/3b_1$  and  $6b_1/6a_2$ . This repulsion retroactively explains the favorability of the transition state structures in parent system VI, where the  $\angle$ (O=C=O) bond angle for second order saddle point F, and transition state structures D and E is 122.5°, 124.1°, 123.5°, respectively.

#### NLP $ightarrow \sigma^*$ Negative Hyperconjugation

The stabilities of **3** and **6** are significantly affected by negative hyperconjugation and the stabilization mechanism works in synergy with NLP/CO<sub>2</sub> repulsion minimization. In our model system, all eight transition state structures donate electron density from the NLP to the  $\sigma^*$  orbital of one of the adjacent CO bonds. This NLP $\to\sigma^*$  negative hyperconjugation can be accomplished in two ways (Figure 9, right) by donation into the  $\sigma^*$  orbital of the carbonyl group (red) or by donation into the  $\sigma^*$  orbital of the C–OMe bond (blue). The negative hyperconjuga-

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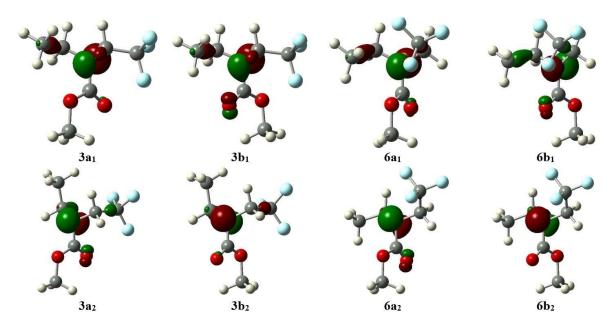


Figure 10. Highest occupied molecular orbital (HOMO) of transition state structures 3 and 6.

tion is enhanced by pyramidalization of the carbamate's N-lone pair because it concentrates N-lone pair electron density on the backside of the carbamate CO bonds. A similar effect was observed by Kost et al. in which they studied the effect of substituent electronegativity on NLP $\rightarrow$  $\sigma^*$  donation in sulfenamides.[39]

The highest occupied molecular orbitals (HOMO) were analyzed for transition state structures 3 and 6 to compare the extent of NLP $\rightarrow$  $\sigma^*$  donation and the results are shown in Figure 10. The results provide compelling evidence that the TS structures strongly engage in NLP $\rightarrow \sigma^*(C=0)$  donation but only weakly in NLP $\rightarrow$  $\sigma^*(C-OMe)$  donation. Therefore NLP $\rightarrow$  $\sigma^*(C=O)$ donation, in conjunction with the observed NLP/CO<sub>2</sub> repulsion, is responsible for a considerable amount of stabilization (ca. 2.6 kcal/mol) in the two most stable transition state structures in each ensemble,  $3a_2/3b_1$  and  $6b_1/6a_2$ .

#### Incipient Nucleophilic Attack and CH···Cation Contacts

Electrostatic stabilization is realized by placing the electron density of one fluorine atom of the CF<sub>3</sub> group in proximity to the positively charged carbamate-C. This interaction could be described as an incipient nucleophilic attack<sup>[40]</sup> because F-lone pair density is oriented towards the electrophilic carbamate-C. Through-space halogen---carbonyl-C interactions are well precedented.[41] The term incipient nucleophilic attack might imply the notion of electron density transfer, i.e., halogencarbonyl-C. However, the important feature is the placement of electron density close to a positively charged center and the resulting Coulombic stabilization and polarization of that electron density in the electric field of the electrophilic center. From this perspective, one realizes that a similar stabilization can be achieved by placing one H atom of the CH<sub>3</sub> group of the N-ethyl group in proximity to the positively charged carbamate-C. Interactions of this type may be called CH···cation contacts and they are common in crystallography. [42]

In structures  $3a_2/3b_1$ , the proximate fluorine  $F_p$  of the  $CF_3$ group is placed close to the positively charged carbamate-C, and in 6b<sub>1</sub>/6a<sub>2</sub>, the proximate methyl hydrogen H<sub>D</sub> is positioned close to the carbamate-C. These stabilizing interactions are characterized by an elongation of the corresponding  $X_2C-X_0$ covalent bond (X = H, F) and a reduction of the through-space X<sub>p</sub>···C=O distance (Table 5). This intrinsic structural feature is affected very little by the application of the SMD solvent system (Table S6).

Coulombic stabilization and NLP $\rightarrow$  $\sigma^*$  donation were correlated with the overall energy of all eight transition state structures to compare the net effect of each stabilizing factor (Scheme 4). It was found that structures that engage in NLP $\rightarrow \sigma^*(C=O)$  negative hyperconjugation and  $X_n \cdot \cdot \cdot C=O$  contact were the most stable,  $3b_1/6a_2$  (blue), and that structures that had neither were the least stable, 3b<sub>2</sub>/6a<sub>1</sub> (red). Between these two extremes there are two possible combinations of X<sub>n</sub>····C=O contact and NLP $\rightarrow \sigma^*$  hyperconjugation to either CO bond. It

Table 5. Th	rough-space	coulombic s	tabilizatio	on of TS Structu	ures <b>3</b> and <b>6</b> .[a]
Stability	TS Str.	$E_{ m rel}$	$X_p$	$X_p$ C $=$ O	C-X <sub>p</sub>
2	3a₁	0.39	F	2.911	1.348
3	3a₂	1.14	F	3.197	1.345
1	3b₁	0.00	F	2.875	1.349
4	3b <sub>2</sub>	1.73	F	3.344	1.341
4	6a₁	6.36	Н	2.921	1.092
1	6a₂	0.00	Н	2.616	1.095
3	$6b_1$	5.80	Н	2.830	1.093
2	6b <sub>2</sub>	0.65	Н	2.608	1.095

[a] Relative energies ( $E_{rel}$ ) based on the SMD[MP2/6–311 + +G(d,p)] energies with scrf = (smd, solvent = chloroform) in  $kcal mol^{-1}$ .

$w/o X_p \cdots C=O$	3a2, 6b1	3b2, 6a1
$w/\underline{X}_p\cdots C=O$	3b <sub>1</sub> , 6a <sub>2</sub>	3a <sub>1</sub> , 6b <sub>2</sub>
	$\underline{NLP} \rightarrow \underline{\sigma}^*(C=O)$	$NLP \rightarrow \sigma^*(C-OMe)$

was determined that  $X_p$ ...C=O contact was the deciding factor, where structures  $3a_1/6b_2$  were the second most stable, and  $3a_2/6b_1$  were the third most stable.

Our discussion of the neighboring group interactions presents a significant conceptual advance. The idea of NLP/CO $_2$  repulsion minimization is original. There have been no previous discussions involving the synergetic interplay between NLP/CO $_2$  repulsion minimization and NLP $\rightarrow$  $\sigma^*$ (CO) negative hyperconjugation. And of course, the need for the discussion we present in section "Incipient Nucleophilic Attack and CH···Cation Contacts" is a direct consequence of the progression from a reductionist model system to a more realistic, larger system.

## Computed <sup>13</sup>C NMR Chemical Shifts and J(<sup>13</sup>C, <sup>19</sup>F) Coupling Constants

Experimentally measured chemical shifts  $\delta(^{13}C)$  and coupling constants  $J(^{13}C,^{19}F)$  for the three synthesized compounds II, III, and IV are listed at the top of Table 6. The chemical shifts are provided for the centers of left and right quartets, i.e., the quartets with the higher and lower chemical shifts, along with the offset  $\Delta\delta$  between the two sets. In analogy, we report the J

values measured for the left and right signals and their difference  $\Delta J$ . Note that compound II mostly closely resembles the computed model system VII.

The chemical shifts  $\delta(^{13}\text{C})$  and the coupling constants  $J(^{13}\text{C},^{19}\text{F})$  were calculated for model system **VII** using a range of functionals and basis sets (Table 6). The data computed for *E*-rotamers {1, 4} and *Z*-rotamers {2, 5} were averaged in each ensemble; these averages are listed in Table 6 and they correspond to the measured signals observed in the experimental  $^{13}\text{C}$  NMR. The coupling constants  $J(^{13}\text{C},^{19}\text{F})$  listed are averaged over the three C–F bonds and over the rotamers in each ensemble. The non-averaged NMR data computed with each method are provided in Table S2. The SMD model was employed to include the effects of bulk solvation in chloroform because the NMR spectra were recorded in deuterated chloroform. In Table 6 we report both the NMR data without and with solvation in successive rows, respectively.

We initially employed the most commonly used hybrid density functional B3LYP and found the chemical shifts to be overestimated by roughly 10% and the magnitude of the *J*-values by about 25%. The  $\Delta\delta$  and  $\Delta J$  values are of the correct order of magnitude. There is a small basis set dependency but improvements in the basis set trends in the wrong direction. Keller and Szczecinski<sup>[43]</sup> reported that the hybrid functionals BHandH and BHandHLYP performed more effectively in GIAO calculations for fluorine-containing compounds. Indeed, these density functionals afford chemical shifts  $\delta(^{13}\text{C})$  within 6% of the experimental data and coupling constants  $J(^{13}\text{C},^{19}\text{F})$  that also are within 6% of measured data. In all theoretical models, the inclusion of chloroform solvation seemingly increased the accuracy of the offset  $\Delta\delta$  and of  $\Delta J$  between ensembles {1, 4} and {2, 5} but absolute chemical shifts  $\delta(^{13}\text{C})$  may not.

Table 6. Computational and experimental 13	C- <sup>19</sup> F NMR data. <sup>[a-c]</sup>					
Experimental	δ( <sup>13</sup> C) [ppm] Left signal	Right signal	$\Delta\delta$ [ppm]	J( <sup>13</sup> C, <sup>19</sup> F) [Hz] Left signal	Right signal	Δ <i>J</i> [Hz]
$N^{\varepsilon}$ -Boc- $N^{\varepsilon}$ -(2,2,2-trifluoroethyl)-	124.86	124.61	0.25	283.18	284.00	0.82
L-lysinate (IV) 4-bromo-N-Boc-N-(2,2,2-trifluoroethyl)- butan-1-amine (III)	124.91	124.70	0.21	283.82	284.42	0.61
4-(Boc(2,2,2-trifluoroethyl)amino)- butan-1-ol (II)	124.81	123.62	0.20	283.19	282.59	0.60
Method	<b>{1, 4</b> }	{2, 5}		<b>{1, 4</b> }	<b>{2, 5}</b>	
B3LYP/6-311+G(2d,p)	135.45	135.11	0.34	-355.32	-356.03	0.70
B3LYP/6-311 + $G(2d,p)$ Solv.	137.14	136.81	0.33	-354.93	-355.78	0.85
B3LYP/aug-cc-pVTZ	137.10	136.78	0.32	-354.38	-355.06	0.68
B3LYP/aug-cc-pVTZ Solv.	138.79	138.49	0.30	-353.95	-354.78	0.83
B3LYP/aug-cc-pVQZ	139.52	139.20	0.32	-358.72	-359.41	0.69
B3LYP/aug-cc-pVQZ Solv.	141.27	140.97	0.31	-358.27	-359.11	0.84
BHandH/6-311 + + G(3df,3pd)	132.85	132.52	0.33	-295.81	-296.36	0.55
BHandH/6–311 $+$ + G(3df,3pd) Solv.	134.71	134.39	0.32	-294.99	-295.68	0.69
BHandH/aug-cc-pVTZ	132.99	132.67	0.31	-297.69	-298.23	0.54
BHandH/aug-cc-pVTZ Solv.	132.69	132.39	0.30	-296.88	-297.56	0.68
BHandHLYP/6–311 $+$ + G(3df,3pd)	130.37	130.05	0.32	-300.02	-300.63	0.61
BHandHLYP/6–311 $+$ + G(3df,3pd) Solv.	132.13	131.82	0.31	-298.9	-299.65	0.75
BHandHLYP/aug-cc-pVTZ	130.59	130.29	0.30	-301.94	-302.53	0.59
BHandHLYP/aug-cc-pVTZ Solv.	132.31	132.02	0.29	-300.83	-301.57	0.74
BHandHLYP/aug-cc-pVQZ	132.52	132.21	0.30	-305.32	-305.95	0.63
BHandHLYP/aug-cc-pVQZ Solv.	134.29	133.99	0.30	-304.18	-304.92	0.74
[a] all job-types: nmr = (giao,spinspin,mixed).	[b] Solv: scrf=(smd,	solvent = chloroform).	[c] All NMR calcu	llated at 298 K.		



#### **Conclusions**

The four unique asymmetric minima of model system **VII** differ by the orientations of the sidechain fluoroalkyl group (characterized by dihedral angle  $\delta$ ) and its position relative to the carbamate carbonyl-O (characterized by dihedral angle  $\rho$ ). The *N*-alkyl conformers **1** and **4** are *E*-rotamers ( $\rho \approx 180^\circ$ ) and the *N*-alkyl conformers **2** and **5** are *Z*-rotamers ( $\rho \approx 0^\circ$ ). *N*-alkyl rotation is facile and allows minima within each ensemble (*E*-rotamers **1**, **4**, **1**′, **4**′; *Z*-rotamers **2**, **5**, **2**′, **5**′) to interconvert and enantiomerize fast. The *E*- and *Z*-ensembles are energetically similar with Boltzmann populations of  $\rho$ (**1**,**4**) = 0.44 and  $\rho$ (**2**,**5**) = 0.56.

We analyzed the rotational profiles about dihedral angle  $\rho$  to determine the barriers to the isomerizations E-1 $\Longrightarrow$ Z-2 via transition state structures 3 and the isomerizations E-4 $\Longrightarrow$ Z-5 via transition state structures 6. Each E/Z-isomerization reaction is associated with four transition state structures depending on the mode of carbamate bond rotation ( $\bf a$  or  $\bf b$ ) and the direction of the concomitant N-pyramidalization  $\pi$  (subscripts 1 or 2). Of the eight transition state structures, the lowest energy paths proceed via  $\bf 3b_1$  and  $\bf 6a_2$ . We have provided evidence from structural and MO analyses to argue that these transition state structures benefit from synergetic NLP/CO $_2$  repulsion minimization and NLP $\rightarrow$  $\sigma^*$ (CO) negative hyperconjugation, as well as  $X_D$ -C=O electrostatic stabilization.

The experimentally measured carbamate rotational barrier  $A_{\text{rot}}(\text{VII})$  is a composite of a number of isomerization reactions E- $1 \rightleftharpoons Z$ -2 and E- $4 \rightleftharpoons Z$ -5 along eight pathways via transition state structures 3i and 6i ( $i = a_1$ ,  $a_2$ ,  $b_1$ ,  $b_2$ ). The relative weights of the paths depend on the relative populations  $F_2$  and  $F_5$  of minima 2 and 5 and on relative stabilities  $F_{3i}$  and  $F_{6i}$  of the transition state structures. Our computational estimate of the overall rotation-inversion barrier was determined to be  $A_{\text{rot}}(\text{VII}) = 15.9 \text{ kcal/mol}$ . This value is in complete agreement with the measured rotational barrier of the structurally similar carbamate ester II,  $A_{\text{exp}}(II) = 15.9 \pm 0.6$ , II which was experimentally determined with variable-temperature II NMR spectroscopy.

To provide a direct link between theory and experiment, the results of the potential energy surface analysis were connected to the experimentally measured chemical shifts  $\delta(^{13}\text{C})$  and  $J(^{13}\text{C},^{19}\text{F})$  coupling constants. We computed the NMR chemical shift  $\delta(^{13}\text{C})$  and  $J(^{13}\text{C},^{19}\text{F})$  coupling constants of the stable minima {1,4} and {2,5} of model system VII using a variety of combinations of density functionals and basis sets. The hybrid functionals BHandH and BHandHLYP, developed by Keller and Szczecinski, performed most effectively in our GIAO calculations. The computation of chemical shifts  $\delta(^{13}\text{C})$  and  $J(^{13}\text{C},^{19}\text{F})$  coupling constants for the minima at our best theoretical levels and their Boltzmann averaging over {1,4} and {2,5} results in good agreement with the experimental NMR data (within 6%).

#### **Supporting Information Summary**

One figure showing the rotation-inversion surfaces  $E(\rho,\pi)$  for E/Z-isomerizations  $E-1 \Longrightarrow Z-2$  via TS structures **3 a** and  $E-4 \Longrightarrow Z-5$  TS

structures **6b**. Four tables documenting total and relative energies computed at various levels, and two tables providing structural information. One table listing the computed NMR data for model system **VII**. Cartesian coordinates of stationary structures **A–F** of *N,N*-dimethyl methyl carbamate and of stationary structures of all minima and transition state structures associated with 1–6 of *N*-ethyl-*N*-(2,2,2-trifluoroethyl) methyl carbamate determined at the MP2/6–311 + + G(d,p) level with SMD solvation.

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#### **Conflict of Interest**

The authors declare no conflict of interest.

#### **Data Availability Statement**

The data that support the findings of this study are available in the supplementary material of this article.

**Keywords:** carbamates  $\cdot$  multipaths equilibria  $\cdot$  negative hyperconjugation  $\cdot$  rotation-inversion  $\cdot$  second-order saddle points

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