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16 Apr 2004, 1:30pm - 3:30pm

# Effects of Liquefaction on the Numerical Analysis of a Single Pile-Soil Interaction During Earthquakes

Fusao Oka Kyoto University, Kyoto, Japan

Lu Chih-Wei Former student of Kyoto University

Zhang Feng Gifu University, Gifu, Japan

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Oka, Fusao; Chih-Wei, Lu; and Feng, Zhang, "Effects of Liquefaction on the Numerical Analysis of a Single Pile-Soil Interaction During Earthquakes" (2004). International Conference on Case Histories in Geotechnical Engineering. 10.

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Proceedings: Fifth International Conference on Case Histories in Geotechnical Engineering New York, NY, April 13-17, 2004

## **EFFECTS OF LIQUEFACTION ON THE NUMERICAL ANALYSIS OF A SINGLE PILE-SOIL INTERACTION DURING EARTHQUAKES**

**Fusao Oka Lu Chih-Wei Zhang Feng**  Kyoto University **Former student** Gifu University Kyoto 606-8501 Japan of Kyoto University Gifu Japan

#### **ABSTRACT**

Dynamic behavior of pile-foundations during earthquakes is important for the performance of many foundations. To clarify the mechanism of the soil-pile interaction, we have conducted a series of numerical analysis of a single pile foundation in the different types of a two-layer ground. Upper layer of the ground is composed of dense sand, reclaimed soils, medium dense sand or loose sand, and the lower layer of the ground is composed of clayey soils. In the liquefaction analysis, we have used a fully coupled effective stress analysis method with the cyclic elasto-plastic and elasto-viscoplastic constitutive models for sandy soils and clays. In the FEM, u-p(solid phase displacement-pore water pressure) formulation is adopted. From the numerical results, effect of liquefaction on the single pile-soil interaction has been clarified.

#### INTRODUCTION

Many structures were damaged during the 1995 Hyogoken-Nambu Earthquake. It was found from the field investigations after the earthquake that not only the pile heads, but also the lower parts of the piles had cracked or failed. This phenomenon indicates that both the inertia force from the upper structure and the kinematic interaction between the piles and the ground play important roles in the mechanical behavior of piles. In particular, when the ground surrounding a structure liquefies due to seismic excitations, the behavior of the piles is more complicated. Damage related to liquefaction may involve cases in which the pile foundation is damaged due to the lateral flow of liquefied soils, and/or the piles fail at the boundary between two different soil layers, of which one liquefies while the other does not. In this study, we conducted a series of numerical simulations to study the dynamic behavior of a single-pile foundation constructed in a two-layer ground, whose upper layer is filled with sandy soils which are dense sand, reclaimed soils, medium dense sand or loose sand, respectively and the lower layer is filled with clayey soils employing a three dimensional liquefaction analysis method (LIQCA3D) to clarify the mechanism of the interactions among the soil-pile-structure.

#### MODELS FOR SOILS AND PILES

The two-layer ground is typical at near shore of the major Japanese urban cities such as Kobe. In order to study the influence of soil characteristics, four different sandy materials are considered for upper sandy ground, that is,

dense sand, medium dense sand, loose sand, and reclaimed soil. Table 1 shows the constitutive parameters in the soil constitutive models for different soils. On the other hand, an axial force dependent (AFD) model (Zhang et al., 2002) is used to describe the dynamic behavior of the pile which is 1.5 m in diameter. The parameters are shown in Table 2.

In the finite element analysis, a cyclic elasto-plastic model is used for sandy soils which has been developed by Oka et al. (1999). The model has been formulated based on: 1. infinitesimal strain theory, 2.elasto-plastic theory, 3.non-associated flow rule, 4.overconsolidated boundary surface, 5. non-linear kinematical hardening rule. The flow rule is a generalized one as:

$$
d\varepsilon_{ij}^{\ p} = H_{ijkl} \frac{\partial f_p}{\partial \sigma^i_{kl}} \tag{1}
$$

where  $d\varepsilon_{ij}^p$  is an plastic strain increment tensor,  $f_p$ is a plastic potential function and  $H_{ijkl}$  is a fourth order isotropic tensor of hardening modulus.

In the model, two yield surfaces are used: one is for the change of stress ratio and the other is for the change of mean effective stress. The yield surface for the change of stress ratio is as:

$$
f_{y} = \{(\eta_{ij}^{*} - x_{ij}^{*})(\eta_{ij}^{*} - x_{ij}^{*})\}^{1/2} - k = 0
$$
 (2)

 $\eta_{ii}^* = s_{ii}/\sigma'_{m}$ 

where  $S_{ii}$  is the deviatoric stress tensor and  $\sigma'_{m}$  is the mean effective stress, and  $x_{ij}$  is a kinematic hardening parameter whose evolutional law is given by

in the pile segment at the boundary between soil layers.



*Fig. 1. Stress-strain relations of steel and concrete* 

$$
dx_{ij} = B^* (A^* d e_{ij}^p - x_{ij}^* d \gamma^{p^*})
$$
 (3)

$$
d\gamma_p = (de_{ij}^p de_{ij}^p)^{1/2}
$$

where  $de_{ij}^p$  is the plastic deviatoric strain increment,  $A^*$ and B\* are material constants.

For clay layer of base ground, an elasto-viscoplastic model (Oka, 1992)was used.

#### Since dense sand layer does not liquefy at all, the earthquake wave motion does not deamplify and the largest bending moment occurs at the pile head among the cases. On the other hand, the larger bending moments occur in the pile at the boundary between layers at t=4sec and t=7sec for loose sand, and medium dense sand and reclaimed soils, respectively, when the effective stress of the sand layers decreases significantly.

#### **NUMERICAL SIMULATION METHODS**

The governing equations for the coupling problems between soil skeleton and pore water pressure are obtained based on the two-phase mixture theory (Biot, 1962). Using a u-p (displacement of the solid phase-pore water pressure) formulation (Zienkiewicz, 1982), the liquefaction analysis is formulated. The side boundaries of the simulated system are assumed to be equal-displacement boundaries, the bottom of the system is fixed and boundaries except surface of the ground are impermeable. In this dynamic analysis, a stiffness-matrix-dependent type of Rayleigh damping is adopted and the direct integration method of Newmark- *β* is used in this dynamic analysis with a time interval 0.01 sec. Ground water table is at 1.5m beneath the ground surface. The mass of the superstructure is 80,000 Kg and the height of pier is 8m. Figure 1 shows the configuration of the single pile system and the seismic wave used in this study.

#### **RESULTS AND DISCUSSIONS**

Figure 5 shows the history of effective stress decrease ratio (ESDR) of soil in the middle of different type of sandy layers. Liquefaction occurs when ESDR equals to 1. It can be seen that loose sand easily liquefies entirely and medium sand and reclaimed soil almost liquefy at the end of the major seismic event  $(t=10$ sec), while the effective stress of dense sand does not decrease much at all. Figure 6 shows the histories of bending moment at pile head and



*Fig. 3. Finite element mesh* 



*Fig 4. Liquefaction strength curves of different sandy soils*



*Fig.5. Effective Stress Decreasing Ratio time profile* 



when the maximum bending moment takes place in each case and Figure 8 shows the distribution of bending moment at the end of the seismic event. They show the although maximum bending moment

takes place at pile head (b15) in every case, the development of the bending moment in the ground varies due to the features of soil.

Figure 7 shows the distribution of bending moment

The large bending moment takes place in lower pile segment (b7) in the cases of liquefiable soils but at upper pile segment (b15) in the case of dense sand at the end of the seismic event.



*Fig.7. Distribution of bending moment* 



*Fig.8. Distribution of bending Moment at the end of the event* 

#### **CONCLUSIONS**

The following conclusions are obtained from the present study:

(a) The maximum bending moment at pile head in non-liquefied ground is larger than those in liquefiable ground; (b) liquefaction process may greatly increase the bending moment and shear force of the pile at the boundary between two different layers; (c) the responses in the cases of medium dense sand and reclaimed soils are similar to the case of dense sand at the beginning; and (d) after the effective stress decreases significantly in the cases of medium dense sand and reclaimed soils, the response becomes similarly to that of loose sand.

#### **REFERENCES**

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Table 2 Material Parameters for Pile

Young's Modulus of concrete $E_c$ (kN/m <sup>2</sup> )	2.5E7
Diameter of pile $D(m)$	1.5
Compressive strength of concrete $f_c$ (kN/m <sup>2</sup> )	36000.00
Tensile strength of concrete $f_t$ (kN/m <sup>2</sup> )	3000.00
Degrading parameter of concrete $\beta_c$	0.20690
Young's Modulus of steel $E$ (kN/m <sup>2</sup> )	2.1E8
Diameter of reinforcement $d(m)$	0.029
Number of reinforcement N	24
Yielding strength of steel $Y_s$ (kN/m <sup>2</sup> )	3.8E5

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	<b>Dense Sand</b>	<b>Medium Dense Sand</b>	<b>Loose Sand</b>	<b>Reclaimed Soil</b>	<b>Soft Clay</b>
Density $\rho$ (t/m <sup>3</sup> )	2.0	2.0	2.0	2.0	1.7
Void Ratio e <sub>o</sub>	0.6	0.8	0.8	0.420	1.4
Coefficient of permeability $k$ (m/s)	$1.5x10^{-5}$	$3.0 \times 10^{-5}$	$3.0 \times 10^{-5}$	$2.0 \times 10^{-4}$	$1.0x10^{-9}$
Compression Index $\lambda$	0.020	0.03	0.03	0.01	0.100
Swelling index $\kappa$	0.002	0.002	0.003	0.001	0.020
Stress Ratio of Failure State $M_f^*$	1.10	1.00	0.80	1.19	1.31
Stress Ratio at Maximum Compression $M*m$	0.85	0.80	0.70	0.91	1.28
Normalized Shear Modulus $G_{\theta}/\sigma_{m\theta}^{'}$	1980.0	1060.0	500.0	2140.0	300.0
Hardening Parameter $B_0^*$ , $B_1^*$ , $C_f$ for sand $B_0^*, B_s^*, B_t^*$ for clay	8500, 85, 0	4000, 400, 0	2500, 25, 0	5500, 55, 0	500, 50, 0
Shear Wave Velocity $V_s$ (m/s)	180	134	92	190	127
	$(σ̄θ=102 KN/m2)$	$(\sigma \dot{g} = 102 \text{ KN/m}^2)$	$(\sigma'_{\theta} = 102 \text{ KN/m}^2)$	$(\sigma'_{\theta} = 102 \text{ KN/m}^2)$	$(σ'_{θ} = 138$ KN/m <sup>2</sup> )
Sand					
Control parameter of anisotropy $C_d$	2000	2000	2000	2000	
Parameter of Dilatancy $D_{\theta}$ , n	1.0, 2.5	1.0, 2.0	1.0, 1.0	1.0, 4.0	
Reference Value of Plastic Strain $\gamma_r^P$	0.008	0.003	0.001	0.002	
Reference Value of Elastic Strain $\gamma^E_r$	0.09	0.035	0.005	0.01	
Clay					
Viscoplastic Parameter $C_{01}(1/s)$	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$	$\overline{\phantom{0}}$	$5.5x10^{-6}$
Viscoplastic Parameter $C_{02}(1/s)$					$7.8x10^{-7}$
Viscoplastic Parameter m <sub>0</sub>					14

Table 1 Material parameters for Soils